

# Public Document Pack

**To: Forum Members:** Fiona Bridger-Wilkinson, Patricia Brims, Sarah Brinkley, Nathan Butler- Broad, Fadia Clarke, Jeanette Clifford, Paul Dick, Reverend Mary Harwood, Jon Hewitt, Kate House, Peter Hudson, Brian Jenkins, Catherine Morley, Derek Peuple, Chris Prickett, David Ramsden, Clive Rothwell, Eileen Selsey, Graham Spellman, John Tyzack, Keith Watts, Stacey Williams and Charlotte Wilson

**Councillors:** Councillor David Allen and Councillor Irene Neill

**Officers:** Cathy Burnham, Shannon Coleman-Slaughter, Jane Seymour, Maria Shepherd, Carolynn Loosen, Ian Pearson and Claire White

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## SCHOOLS FORUM AGENDA

Monday, 3rd March, 2014

5.00 pm at Shaw House Church Road Newbury RG14 2DR

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| 1 | Apologies   |       |
| 2 | Minutes of previous meeting dated 20th January 2014 | 1 - 6 |
| 3 | Actions arising from previous meeting               |       |
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### Items for Decision

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| 6 | Early Years Funding and Budget Proposals 2014/15<br>Maria Shepherd / Claire White             | 7 - 18  |
| 7 | High Needs Budget Proposals 2014/15<br>Jane Seymour / Shannon Coleman-Slaughter               | 19 - 28 |
| 8 | Pupil Referral Unit Budget and Funding Proposals 2014/15<br>Cathy Burnham / Ian Pearson       | 29 - 36 |
| 9 | Overall DSG Budget Proposal 2014/15<br>Claire White / Ian Pearson / Shannon Coleman-Slaughter | 37 - 40 |

### Items for Discussion

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| 10 | DSG Budget Monitoring Month 10<br>Ian Pearson / Shannon Coleman-Slaughter | 41 - 46 |
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### Items for Information

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12 <b>Update from CAPITA School Funding Conference (verbal)</b> <b>Claire White / Ian Pearson / John Tyzack / Patricia Brims</b>	
13 <b>Update on meeting with DfE on Small Schools Funding (verbal)</b> <b>Claire White / Ian Pearson / Irene Neill</b>	
14 <b>Trade Union Facility Time - Schools Forum Role (verbal)</b> <b>Ian Pearson</b>	

## **Any Other Business**

**Next Meeting: Monday 9th June 2014 5pm at Shaw House**

# Agenda Item 2

## Minutes of a Meeting of the Schools Forum

Monday 20<sup>th</sup> January 2014

Shaw House

<b>Present:</b>	Fiona Bridger-Wilkinson	Nursery Schools	Headteacher	Victoria Park Nursery School
	Patricia Brims	Primary Schools	Governor	Brimpton Primary School
	Fadia Clarke		FE Representative	Newbury College
	Jeanette Clifford	Academies	Governor	St Bartholomew's School
	Reverend Mary Harwood		Church of England Representative	Oxford Diocese
	Jon Hewitt	Special Schools	Headteacher	The Castle School
	Peter Hudson	Primary Schools	Governor	Mortimer St Johns Infant School
	Brian Jenkins		Early Years PVI Representative	Jubilee Day Nursery
	Eileen Selsey	Academies	Governor	Park House School
	Graham Spellman		Roman Catholic Representative	Portsmouth Diocese
	John Tyzack	Primary Schools	Governor	Falkland Primary School
	Chris Prickett	Primary Schools	Headteacher	Streatley Primary School
	Keith Watts		Union Representative	
	Jacquie Davies	Pupil Referral Units	Headteacher	Alternative Curriculum
	Councillor David Allen		Shadow Portfolio Holder for C&YP	
	Shannon Coleman-Slaughter		CYP & Safeguarding Finance Manager	
	Carolynn Loosen		Schools' Funding Officer	
	Ian Pearson		Head of Education	
	Rachael Wardell		Corporate Director of Communities	
	Claire White		Schools' Finance Manager	
Richard Stewart		EFA Observer		

### Action

#### 1. APOLOGIES RECEIVED

Sarah Brinkley	Secondary Schools	Headteacher	John O'Gaunt School
Nathan Butler-Broad	Primary Schools	Headteacher	Spurcroft Primary School
Paul Dick	Academies	Headmaster	Kennet School
Kate House	Primary Schools	Headteacher	The Ilsleys Primary School
Catherine Morley	Primary Schools	Headteacher	Theale Primary School
Councillor Irene Neill		Executive Portfolio for C&YP	
David Ramsden	Secondary Schools	Headteacher	Little Heath School
Clive Rothwell	Secondary Schools	Governor	John O'Gaunt School
Charlotte Wilson	Academies	Headteacher	Trinity School

#### 2. MINUTES OF PREVIOUS MEETING DATED 9<sup>TH</sup> DECEMBER 2013

The minutes of the meeting on 9<sup>th</sup> December were approved.

### **3. ACTIONS ARISING FROM PREVIOUS MEETING**

#### Item 6 Growth Fund

Infant class size funding and growth funding has been released.

#### Item 7 Dedicated Schools Grant Budget 2014/15

The detailed report is on this meeting's agenda.

#### Item 8 Update on High Needs Arrangements 2014/15

SEN funding in Pupil Referral Units will be included in the PRU funding arrangements item to be discussed at the 3<sup>rd</sup> March Schools' Forum meeting.

#### Item 10 Update on Pupil Referral Unit Funding Arrangements 2014/15

The Heads Funding Group is meeting on 13<sup>th</sup> February 4.30pm at Shaw House to discuss PRU funding arrangements and the item will be discussed at the 3<sup>rd</sup> March Schools' Forum meeting.

### **4. DECLARATIONS OF INTEREST**

There were no declarations of interest.

### **5. MEMBERSHIP**

Micky Allfrey the School Business Manager at Lambourn Primary School has been put forward by members of the Primary Heads Forum to be the Primary SBM Representative.

The Academies Representative vacancy is in the process of being filled but the nominated Headteacher has not confirmed yet.

### **6. ESTIMATE DSG FUNDING AND DRAFT DSG BUDGET FOR 2014/15**

Claire White and Shannon Coleman-Slaughter presented the report on the estimated DSG and draft DSG budget 2014/15.

The report contained information on the 2014/15 funding for the Schools' Block (SB), High Needs Block (HNB) and the Early Years Block (EYB) although only the SB allocation has been confirmed. The SB has been confirmed at £95.150m including £33k in additional funding for Newly Qualified Teachers and a reduction of £123k for the carbon reduction commitment which no longer required to be paid by the LA on behalf of schools.

The HNB is fixed at the 2014/14 level plus an additional £448k for full year adjustment of the academies and further education who changed over on 1<sup>st</sup>

September 2013. The place number review is currently in progress and the final HNB allocation will be confirmed in March.

The initial EYB allocation is £5.703m which is based on the January 2013 census data and which will be updated in Summer with the January 2014 census data. The final 2014/15 EYB will be based 5/12ths on the January 2014 census and 7/12ths on the January 2015 census so the final allocation 2014/15 will not be known until the Summer 2015.

The estimate for the total DSG funding 2014/15 is £120,082k, which includes the estimated under spend for 2013/14 at £877k, although period 9 has not been finalised. The budget requirement 2014/15 is £118,587k creating headroom of £1,495k.

The increase in the pupil premium grant rates for 2014/15 will also result in approximately £900k of additional funding for West Berkshire pupils.

There is also the introduction of the universal free school meals for infants which will be a separate grant.

The Early Years Steering Group will be considering the EY budget at their February meeting.

The High Needs budgets will be finalised for March 2014.

The final proposals for the EY and HNB budgets will be brought to the March meeting of the Schools' Forum in March for final approval.

**Decision: Reports finalising the Early Years and High Needs budgets will be brought to the next meeting of the Schools' Forum.**

C White / S  
Coleman-  
Slaughter / I  
Pearson

## 7. SCHOOL FORMULA AND SCHOOL BUDGET FOR 2014/15

Claire White presented the report on the school formula and school budget 2014/15.

The Schools' Forum agreed the 2014/15 formula on 7<sup>th</sup> October and the Council's Executive approved it on 17<sup>th</sup> October. It was also agreed by the Executive that the funding rates could be adjusted without further approval from them.

The funding formula for 2014/15 has been accepted by the EFA, the October census data and the final Dedicated Schools Grant (DSG) for the schools block 2014/15 has been confirmed, so the final funding rates are now to be set and submitted to the EFA by 21<sup>st</sup> January 2014.

Claire's report contained the method used to arrive at the formula funding rates and appendix A showed the estimated 2014/15 funding formula using the October 2012 census data compared to the 2014/15 funding formula using the October 2013 census data.

As over 85% of the formula is based on pupil numbers a significant change in pupil numbers can lead to large swings in funding. There was concern regarding the effect of a large increase in pupil numbers on funding rates based on the quantum method however it was confirmed that the quantum would be increased if this was the case.

When the revised funding allocations for 2014/15, based on the October census data, are compared to the actual DSG school's block allocation there is £180k of funding remaining.

The options for the allocation of the £180k included adding it to the High Needs contingency, increasing the pupil funding rate or adding to the quantum of any of the other factors.

The recommendation from the Heads Funding Group was to increase the pupil funding rate which benefits all schools.

**Decision: The Schools' Forum decided that the remaining funding in the 2014/15 DSG schools block should be used to increase the pupil funding rate by £14 for both primary and secondary schools.**

**Action: Final Formula to be submitted to the EFA tomorrow and individual school funding allocations to be distributed to schools by the end of the week.**

C White

## **8. DSG BUDGET MONITORING MONTH 9**

Shannon Coleman-Slaughter presented the report on the DSG budget monitoring as at 31<sup>st</sup> December 2013.

The DSG forecast outturn as at 31<sup>st</sup> December 2013 is an under spend of £1,329k which relates to the High Needs Block.

The HNB top up funding cost centres are forecasting a pressure of £247k and the HNB contingency is forecasting an under spend of £939k. The Non-LA Special Schools cost centre is forecasting an under spend of £309k, there is a £70k pressure on home tuition and there is income of £400k relating to recoupment income for 2012/13.

The under spend on special schools in other local authorities is the result of the initiative to invest in specialist provision in West Berkshire.

## **9. UPDATE FROM EDUCATION CONSULTATIVE MEETING**

John Tyzack gave a verbal update on the Education Consultative meeting which meets five times a year and brings together West Berkshire officers, Governor representatives and Trade Union representatives to discuss education related matters such as updating model policies.

Verbal updates on any relevant topics discussed at the meeting will be given to the Schools' Forum on a regular basis.

## **ANY OTHER BUSINESS**

There was no any other business.

Meeting closed 5.55 p.m.

**Date of next meeting: Monday 3rd March 2014**

**Time: 5pm**

**Venue: Shaw House**

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<b>West Berkshire Schools' Forum</b>	
<b>Title of Report:</b>	<b>Early Years Funding &amp; Budget 2014/15</b>
<b>Date of Meeting:</b>	<b>3<sup>rd</sup> March 2014</b>
<b>Contact Officer(s)</b>	<b>Claire White &amp; Maria Shepherd</b>
<b>For Decision</b>	

## 1. Budget Position 2013/14

1.1 Funding for the 2013/14 early years block will not be confirmed until May/June 2014 due to 3 and 4 year old funding being based on 5/12 of the January 2013 census and 7/12 of the January 2014 census. Therefore the forecast position for 2013/14 is based on an estimate using the number of children counted in the January 2014 census.

1.2 The current forecast is summarised below

	<b>Budget 2013/14</b>	<b>Forecast 2013/14</b>	<b>Variance 2013/14</b>
2 Year old Funding	1,033,550	250,930	-782,620
3 & 4 Year Old Funding	5,918,380	6,233,470	315,090
Central EY Funding	192,720	198,310	5,590
DSG EY Block Grant	-7,144,650	-7,314,740	-170,090
<b>TOTAL</b>	<b>0</b>	<b>-632,030</b>	<b>-632,030</b>

1.3 It is expected that over half the overspend on 3 and 4 year olds (due to the increased take up of hours) will be offset by an increase in the DSG grant.

1.4 The funding received for 2 year olds was split : £755,550 was allocated for statutory place funding for 252 children accessing the full 15 hours from April 2013 and £278,000 was allocated for trajectory funding in order to build 252 places by September 2013 and 504 places by September 2014. The actual take up of 2 year old places has been significantly less (as expected) at around 150 places resulting in the forecast underspend. This has partly been utilised to offset the overspend on 3 and 4 year old funding.

1.5 It is proposed that the overall early years underspend be ringfenced to the Early Years block and be carried forward to continue with the trajectory building of 504 two year old places by September 2014, plus to plug the gap in the reduction of the 3 and 4 year old funding from next year due to the £364k funding for universal provision no longer being received.

## 2. Funding Allocation for 2014/15

2.1 The Dedicated Schools Grant (DSG) for 2014/15 was announced on 18<sup>th</sup> December 2013. The Early Years Block is calculated as follows:

- 3 & 4 year olds: the amount per pupil is the same as for 2013/14 (£3,911 for West Berkshire). Initially the DfE has multiplied this by pupil numbers from the January 2013 Early Years Census. The final allocation will be based on 5/12 x January 2014 pupils plus 7/12 January 2015 pupil numbers.
- 2 year olds: this allocation is a fixed notional amount for statutory places and is based on the same hourly rate as for 2013/14 (£5.36 for West Berkshire Council). It has been calculated using data from the Department of Works and Pensions (DWP) on the number of eligible children under the 20% criteria for one term, and using data from Her Majesty's Revenue and Customs (HMRC) on the number of eligible children under the 40% criteria for the two terms from September 2014.
- There is a further notional amount for trajectory building which can be used for non-statutory places and other activities in preparation for the extended entitlement to 40% of two year olds from September 2014.

2.2 The funding calculation for 2014/15 is as follows:

January 2014 School Census	421.00	FTE
January 2014 Early Years Census	1,114.00	FTE
Total FTE January census	1,535.00	A
Guaranteed Unit of Funding	£3,911.00	B
Estimated 3 & 4 Year Old Allocation	£6,003,385	(A x B)
Plus 2 Year old Funding:		
254 children using 20% criteria	£259,038	
449 children using 40% criteria	£915,812	
Trajectory Funding	£142,078	
Fixed 2 Year Old Allocation	£1,316,928	
Plus: Carry Forward from 2013/14	£632,030	
<b>TOTAL</b>	<b>£7,952,343</b>	

2.3 The above calculation for 3 and 4 year old funding is based on the January 2014 census only. It is assumed that any significant change in actual hours paid in year will be largely offset by the final calculation of DSG using January 2015 census data.

2.4 Although the funding for each DSG block is not ring fenced it is strongly advised that where possible funding is allocated to children/pupils it is intended for. The above figures also assume that the carry forward from 2013/14 remains within this block.

### 3. Budget Proposal for 2014/15

3.1 With the possibility of national changes to the Early Years formula from 2015/16, the Steering Group is proposing that there will be no changes to the early year's formula for 2014/15 in order to give settings some stability in their funding for a further year.

3.2 By utilising some of the carry forward from 2013/14, the hourly funding rates for 3 and 4 year olds can be maintained. This budget has been calculated assuming the same number of actual hours funded in 2013/14, and is detailed per provider in Appendix A.

3.3 The method of allocating deprivation funding to settings has been reviewed as there was concern that in many cases no funding was going to settings containing children from low income and disadvantaged families. The Income Deprivation Affecting Children Index (IDACI) is the only indicator that can be used for early years settings, so different bandings and funding levels were modelled to find the best fit. The model agreed by the Steering Group is detailed in Appendix B, whereby reducing the lowest funded band to 0.05 (5% likelihood of disadvantage) and reducing the funding rates was deemed to be the fairest and goes some way in ensuring that this funding is reaching all settings that need it.

3.4 The centrally retained early year's budget is funded 50% from the 3 & 4 year old DSG allocation and 50% from the 2 year old DSG allocation. This budget contains the staffing costs of central support and advice for providers, and for determining eligibility of a child for early years provision. Other than this deduction, the full 2 year old allocation is assigned to the 2 year old budget, and the funding rate will remain at £5.26 per hour.

3.5 This leaves approximately £354,000 in contingency (depending on the actual final carry forward from 2013/14) which will be used for further trajectory building of places for 2 year olds, and for in-year growth in 3 and 4 year old places not funded by an increase in DSG.

3.6 The Budget proposed for 2014/15 is summarised as follows:

	<b>Budget 2014/15</b>
2 Year old Funding	1,267,230
3 & 4 Year Old Funding	6,231,180
Central EY Funding	99,390
Contingency	354,540
DSG EY Block Grant	-7,952,340
<b>TOTAL</b>	<b>0</b>

3.7 Appendix C contains the breakdown of the Early Years Budget in the format to be submitted to the Department for Education.

**RECOMMENDATION:**

1. The Early Years Block underspend in 2013/14 be carried forward to the Early Years Block in 2014/15
2. The Early Years budget as set out in section 3 is approved

**Appendices**

Appendix A – 2014/15 Early Years Budget Forecast for 3 & 4 Year Olds per Provider

Appendix B – 2014/15 Deprivation Funding

Appendix C – 2014/15 Early Years Budget Proforma



## Early Years SFF 2014/15 Version 2 - 12/02/14

**Budget Comparison**

Provider URN	Ref	Provider Name	Category	Base Rate	Quality Rate	Base Rate £	Quality Supplement £	Total Hourly Rate	Spring 14 Hours	Summer 13 Hours	Autumn 13 Hours	Total Hours	Nursery		Indicative funding	Equivalent Hourly Rate	2013/14 Budget		
													Deprivation Supplement	School Supplement			Budget	Change	
540545		Rainbows Childcare	Day Nursery/Ind School	1	B	£3.86	£0.38	£4.24	2,088.00	2,871.60	1,806.00	6,765.6	585		29,271	4.33	28,443	828	
299346		Renate Hughes	Childminder	3	A	£3.70	£0.00	£3.70	0.00	234.00	0.00	234.0	0		866	3.70	275	591	
515836		Rockinghorse Day Nursery	Day Nursery/Ind School	2	C	£3.96	£0.73	£4.69	7,380.80	9,696.60	6,862.80	23,940.2	2,620		114,900	4.80	114,137	762	
540542		Sarah Scott-Cound	Childminder	3	A	£3.70	£0.00	£3.70	88.00	0.00	0.00	88.0	0		326	3.70	0	326	
540542		Shelley Hedges	Childminder	3	A	£3.70	£0.00	£3.70	0.00	132.00	0.00	132.0	0		488	3.70	0	488	
540542		Sheril Candland	Childminder	3	A	£3.70	£0.00	£3.70	0.00	0.00	0.00	0.0	0		0	#DIV/0!	0	0	
540557		Sparklers Pre-School	Pre School	3	A	£3.70	£0.00	£3.70	4,774.00	5,312.40	5,515.00	15,601.4	960		58,685	3.76	43,257	15,428	
540547		Springburn Childcare	Day Nursery/Ind School	2	C	£3.96	£0.73	£4.69	3,608.00	4,577.80	3,198.00	11,383.8	1,190		54,580	4.79	45,049	9,531	
514344		St Andrews School Nursery	Day Nursery/Ind School	1	C	£3.86	£0.73	£4.59	4,149.60	3,200.70	5,952.00	13,302.3	520		61,578	4.63	64,831	-3,253	
584514		St Catherines Pre-School	Pre School	3	B	£3.70	£0.38	£4.08	3,855.60	4,875.00	3,748.80	12,479.4	1,020		51,936	4.16	46,599	5,336	
540558		St Gabriels School	Day Nursery/Ind School	2	E	£3.96	£1.56	£5.52	2,166.00	2,142.00	2,898.00	7,206.0	570		40,347	5.60	55,431	-15,084	
519304		St Georges Pre-School	Pre School	3	B	£3.70	£0.38	£4.08	5,467.00	6,538.65	4,592.00	16,597.7	1,360		69,078	4.16	70,421	-1,342	
595404		St Johns Pre-School	Pre School	3	B	£3.70	£0.38	£4.08	4,139.30	5,973.00	3,445.65	13,558.0	1,440		56,756	4.19	59,515	-2,759	
540539		St Peters Pre-School	Pre School	3	A	£3.70	£0.00	£3.70	2,623.50	2,923.65	2,257.50	7,804.7	420		29,297	3.75	31,376	-2,079	
540570		Stacey Day	Childminder	3	A	£3.70	£0.00	£3.70	0.00	0.00	0.00	0.0	0		0	#DIV/0!	0	0	
518688		Streatley Hill Pre-School	Pre School	1	D	£3.86	£0.94	£4.80	2,475.00	3,578.35	2,814.20	8,867.6	80		42,644	4.81	40,100	2,544	
540564		Sunshine Club (Early Years)	Pre School	3	A	£3.70	£0.00	£3.70	891.00	1,703.00	630.00	3,224.0	180		12,109	3.76	6,454	5,655	
581144		Teddy Bears Pre-School	Pre School	3	D	£3.70	£0.94	£4.64	2,062.50	5,263.00	2,352.00	9,677.5	760		45,664	4.72	56,844	-11,180	
523213		Thatcham Pre-School	Pre School	3	A	£3.70	£0.00	£3.70	3,893.40	6,795.50	3,127.90	13,816.8	1,285		52,407	3.79	62,531	-10,124	
540549		The Cedars School	Day Nursery/Ind School	1	B	£3.86	£0.38	£4.24	330.00	354.00	960.00	1,644.0	40		7,011	4.26	16,332	-9,321	
518752		The Colourful Caterpillar	Pre School	3	B	£3.70	£0.38	£4.08	7,458.00	10,902.60	6,473.40	24,834.0	2,505		103,828	4.18	92,249	11,579	
540562		The Grange Nursery	Day Nursery/Ind School	1	D	£3.86	£0.94	£4.80	5,648.40	5,544.00	5,592.00	16,784.4	1,870		82,435	4.91	23,388	59,047	
540559		The Montessori School House	Day Nursery/Ind School	1	B	£3.86	£0.38	£4.24	1,555.20	3,379.20	1,397.50	6,331.9	835		27,682	4.37	39,244	-11,562	
585165		The Orchard Day Nursery	Day Nursery/Ind School	2	E	£3.96	£1.56	£5.52	3,536.50	3,946.00	3,240.00	10,722.5	500		59,688	5.57	43,979	15,709	
510088		The Village Montessori Nursery School	Day Nursery/Ind School	2	E	£3.96	£1.56	£5.52	6,149.00	7,641.80	6,204.90	19,995.7	1,285		111,661	5.58	87,205	24,456	
519312		Tigers Day Nursery	Day Nursery/Ind School	1	B	£3.86	£0.38	£4.24	12,870.00	17,823.60	13,064.40	43,758.0	4,370		189,904	4.34	183,595	6,309	
540544		Tigers Too Day Nursery	Day Nursery/Ind School	1	A	£3.86	£0.00	£3.86	4,521.00	7,410.00	3,550.80	15,481.8	1,445		61,205	3.95	68,789	-7,584	
400867		Wendy Elliot	Childminder	3	A	£3.70	£0.00	£3.70	99.00	0.00	237.00	336.0	40		1,283	3.82	1,370	-87	
540577		Wendy Kim Elliot	Childminder	3	A	£3.70	£0.00	£3.70	165.00	0.00	0.00	165.0	100		711	4.31	0	711	
518637		Westwood Farm Pre-School	Pre School	3	B	£3.70	£0.38	£4.08	7,668.00	8,539.00	9,063.50	25,270.5	1,500		104,604	4.14	87,374	17,230	
581522		Woodlands Day Nursery	Day Nursery/Ind School	2	A	£3.96	£0.00	£3.96	5,577.00	6,527.40	4,326.00	16,430.4	1,545		66,609	4.05	79,013	-12,403	
92000		Calcot Infant School and Nursery	Maint Nursery Class	5	D	£3.19	£0.94	£4.13	8,352.0	8,967.0	6,603.0	23,922.0	3,270		102,068	4.27	109,353	-7,285	
93100		Fir Tree Primary School and Nursery	Maint Nursery Class	5	D	£3.19	£0.94	£4.13	3,132.0	3,660.0	3,834.0	10,626.0	2,925		46,810	4.41	46,747	64	
93900		John Rankin Infant and Nursery School	Maint Nursery Class	5	D	£3.19	£0.94	£4.13	4,698.0	8,418.0	6,177.0	19,293.0	1,410		81,090	4.20	85,396	-4,306	
94300		Lambour C.E. Primary School	Maint Nursery Class	5	D	£3.19	£0.94	£4.13	5,220.0	5,856.0	4,260.0	15,336.0	2,230		65,568	4.28	68,532	-2,964	
94500		Mrs Bland's Infant School	Maint Nursery Class	5	D	£3.19	£0.94	£4.13	7,134.0	9,150.0	7,029.0	23,313.0	1,560		97,843	4.20	108,415	-10,572	
94600		Pangbourne Primary School	Maint Nursery Class	5	D	£3.19	£0.94	£4.13	4,524.0	4,575.0	5,538.0	14,637.0	1,020		61,471	4.20	57,395	4,075	
95000		Robert Sandilands Primary School and Nursery	Maint Nursery Class	5	D	£3.19	£0.94	£4.13	5,220.0	5,673.0	6,390.0	17,283.0	2,795		74,174	4.29	82,782	-8,609	
95400		Springfield Primary School	Maint Nursery Class	5	D	£3.19	£0.94	£4.13	3,306.0	3,660.0	4,047.0	11,013.0	880		46,364	4.21	49,956	-3,593	
95500		Spurcroft Primary School	Maint Nursery Class	5	D	£3.19	£0.94	£4.13	7,830.0	7,503.0	7,668.0	23,001.0	2,320		97,314	4.23	89,468	7,846	
97700		St John the Evangelist C.E. Nursery and Infant Sch	Maint Nursery Class	5	D	£3.19	£0.94	£4.13	4,350.0	6,588.0	4,899.0	15,837.0	1,650		67,057	4.23	75,200	-8,143	
99700		Thatcham Park Primary	Maint Nursery Class	5	D	£3.19	£0.94	£4.13	6,960.0	7,320.0	6,390.0	20,670.0	2,510		87,877	4.25	86,872	1,005	
98700		The Willows Primary School	Maint Nursery Class	5	D	£3.19	£0.94	£4.13	8,526.0	8,235.0	8,307.0	25,068.0	4,960		108,491	4.33	76,130	32,361	
99400		The Wincombe School	Maint Nursery Class	5	D	£3.19	£0.94	£4.13	7,830.0	7,759.2	7,455.0	23,044.2	3,445		98,618	4.28	88,853	9,764	
96600		Theale C.E. Primary School	Maint Nursery Class	5	D	£3.19	£0.94	£4.13	3,654.0	4,758.0	4,473.0	12,885.0	1,020		54,235	4.21	70,747	-16,512	
96800		Westwood Farm Infant School	Maint Nursery Class	5	D	£3.19	£0.94	£4.13	2,784.0	2,928.0	0.0	5,712.0	380		23,971	4.20	32,759	-8,789	
98200		Hungerford Nursery School Centre for Children	Maint Nursery School	4	E	£3.07	£1.56	£4.63	16,356.0	17,568.0	17,466.0	51,390.0	5,300	127,830	371,066	7.22	378,856	-7,790	
98300		Victoria Park Nursery School	Maint Nursery School	4	E	£3.07	£1.56	£4.63	21,402.0	25,437.0	18,105.0	64,944.0	9,885	127,830	438,406	6.75	449,110	-10,704	
<b>TOTAL</b>									<b>426,443.5</b>	<b>530,045.5</b>	<b>408,241.3</b>	<b>1,364,730.2</b>	<b>134,820</b>	<b>255,660</b>	<b>6,231,178</b>		<b>5,978,943</b>	<b>252,235</b>	
<b>PVI</b>									£4.60	305,165	391,990	289,600	986,756	87,260	0	4,308,758		3,961,810	346,948
<b>Maintained Nursery Classes</b>									£4.13	83,520	95,050	83,070	261,640	32,375	0	1,112,949		1,128,610	-15,661
<b>Maintained Nursery Schools</b>									£4.63	37,758	43,005	35,571	116,334	15,185	255,660	809,471		827,960	-18,489
<b>TOTAL</b>															<b>6,231,178</b>		<b>5,918,380</b>	<b>312,798</b>	
<b>Look Up Rates</b>																			
<b>Quality Base Rates</b>																			
A		£0.00	1	£3.86															
B		£0.38	2	£3.96															
C		£0.73	3	£3.70															
D		£0.94	4	£3.07															
E		£1.56	5	£3.19															



## 2014/15 EYSFF Supplement for Deprivation - based on IDACI score for each child

IDACI score for every child in every setting (based on their postcode).  
Data collected January 2014.  
Maximum funding = £130k approx.

	Jan-14 CENSUS CHILD NO's (excl 2 yo's)	PERCENTAGE OF CHILDREN (WIITH SCORE) IN SETTING JANUARY 2014									TOTAL SUPPLEMENT £	2013/14 Supplement	CHANGE
		OUTSIDE BANDING £0	BAND 1 (0.05 to 0.1) £40	BAND 2 (0.1 to 0.2) £60	BAND 3 (0.2 to 0.25) £100	BAND 4 (0.25 to 0.3) £125	BAND 5 (0.3 to 0.4) £150	BAND 6 (0.4 to 0.5) £175	BAND 7 (0.5 to 1.0) £200				
513638	Abacus Nursery	33	27.27%	21.21%	39.39%	0.00%	12.12%	0.00%	0.00%	0.00%	£1,560	£672	£888
511374	Acorns Nursery School	34	29.41%	44.12%	23.53%	0.00%	0.00%	2.94%	0.00%	0.00%	£1,230	£350	£880
558979	Acres of Fun Day Nursery	58	31.03%	46.55%	18.97%	1.72%	0.00%	1.72%	0.00%	0.00%	£1,990	£686	£1,304
301513	Alison Turner	2	0.00%	0.00%	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%	£200	£0	£200
540553	Apple Tree Pre-School	19	10.53%	26.32%	31.58%	0.00%	31.58%	0.00%	0.00%	0.00%	£1,310	£2,800	<b>-£1,490</b>
540561	Apricot Day Nursery	2	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	£80	£0	£80
558974	Audlen House Day Nursery	24	29.17%	8.33%	50.00%	4.17%	4.17%	4.17%	0.00%	0.00%	£1,175	£750	£425
540555	Barn Owl Day Nursery	40	27.50%	30.00%	32.50%	2.50%	7.50%	0.00%	0.00%	0.00%	£1,735	£529	£1,206
515680	Beansheaf Pre-School	33	6.06%	27.27%	45.45%	0.00%	21.21%	0.00%	0.00%	0.00%	£2,135	£1,899	£236
519865	Beenham Pre-School	13	0.00%	84.62%	15.38%	0.00%	0.00%	0.00%	0.00%	0.00%	£560	£0	£560
540540	Boot Farm Kindergarten	29	44.83%	20.69%	27.59%	6.90%	0.00%	0.00%	0.00%	0.00%	£920	£384	£536
519741	Brightstart Day Nursery	24	12.50%	58.33%	20.83%	4.17%	0.00%	4.17%	0.00%	0.00%	£1,110	£350	£760
513306	Brightwalton Pre-School Nursery	26	19.23%	76.92%	3.85%	0.00%	0.00%	0.00%	0.00%	0.00%	£860	£350	£510
513230	Brockhurst and Marlston House Schools	34	32.35%	52.94%	11.76%	0.00%	2.94%	0.00%	0.00%	0.00%	£1,085	£200	£885
511927	Bucklebury Pre-School	30	70.00%	16.67%	10.00%	3.33%	0.00%	0.00%	0.00%	0.00%	£480	£387	£93
523273	Chieveley Pre-School	20	50.00%	45.00%	5.00%	0.00%	0.00%	0.00%	0.00%	0.00%	£420	£0	£420
514442	Cold Ash Pre-School	35	51.43%	25.71%	14.29%	2.86%	5.71%	0.00%	0.00%	0.00%	£1,010	£533	£477
519310	Compton Pre-School	20	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	£800	£0	£800
517074	Crabtree Pre-School	22	86.36%	9.09%	0.00%	0.00%	0.00%	0.00%	4.55%	0.00%	£255	£550	<b>-£295</b>
585369	Denefield School Day Nursery	8	25.00%	37.50%	37.50%	0.00%	0.00%	0.00%	0.00%	0.00%	£300	£550	<b>-£250</b>
540538	Dingley Family & Specialist Early Years	6	16.67%	16.67%	50.00%	0.00%	16.67%	0.00%	0.00%	0.00%	£345	£550	<b>-£205</b>
558978	Elstree Home Farm School	25	60.00%	20.00%	16.00%	4.00%	0.00%	0.00%	0.00%	0.00%	£540	£750	<b>-£210</b>
540563	Englefield Nursery School	25	16.00%	48.00%	28.00%	4.00%	0.00%	4.00%	0.00%	0.00%	£1,150	£0	£1,150
514401	Fledglings Day Nursery	21	33.33%	52.38%	9.52%	0.00%	4.76%	0.00%	0.00%	0.00%	£685	£350	£335
582593	Great Shefford Under Fives	17	5.88%	17.65%	76.47%	0.00%	0.00%	0.00%	0.00%	0.00%	£900	£0	£900
540548	Happy Kids	31	6.45%	29.03%	29.03%	9.68%	16.13%	6.45%	3.23%	0.00%	£2,300	£6,913	<b>-£4,613</b>
595401	Harriet House Montessori Nursery	43	60.47%	34.88%	4.65%	0.00%	0.00%	0.00%	0.00%	0.00%	£720	£0	£720
515312	Headstarts Educational Nursery School	0	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	£0	£900	<b>-£900</b>
255598	Heidi Evans	4	0.00%	50.00%	50.00%	0.00%	0.00%	0.00%	0.00%	0.00%	£200	£0	£200
510228	Helen Melvin	2	50.00%	50.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	£40	£0	£40
513277	Hermitage Pre-School	26	23.08%	73.08%	0.00%	0.00%	3.85%	0.00%	0.00%	0.00%	£885	£350	£535
520951	Hungerford Playgroup	16	0.00%	18.75%	56.25%	12.50%	12.50%	0.00%	0.00%	0.00%	£1,110	£0	£1,110
540550	Inkspots Early Years	10	10.00%	20.00%	70.00%	0.00%	0.00%	0.00%	0.00%	0.00%	£500	£0	£500
540542	Joyce Hughes	1	0.00%	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	£60	£0	£60
518927	Jubilee Day Nursery	79	27.85%	37.97%	27.85%	3.80%	1.27%	1.27%	0.00%	0.00%	£3,095	£1,415	£1,680
	Jubilee Gems	10	20.00%	60.00%	20.00%	0.00%	0.00%	0.00%	0.00%	0.00%	£360	£0	£360
558975	Just Learning Nursery	27	37.04%	33.33%	14.81%	11.11%	0.00%	3.70%	0.00%	0.00%	£1,050	£1,926	<b>-£876</b>
518883	Kennet Valley Pre-School	29	0.00%	17.24%	72.41%	6.90%	0.00%	3.45%	0.00%	0.00%	£1,810	£2,799	<b>-£989</b>
506077	Kerree Burton	2	0.00%	50.00%	0.00%	50.00%	0.00%	0.00%	0.00%	0.00%	£140	£0	£140

		Jan-14	PERCENTAGE OF CHILDREN (WIITH SCORE) IN SETTING JANUARY 2014								TOTAL	2013/14	CHANGE
		CENSUS	OUTSIDE	BAND 1	BAND 2	BAND 3	BAND 4	BAND 5	BAND 6	BAND 7	SUPPLEMENT	Supplement	
		CHILD NO's	BANDING	(0.05 to 0.1)	(0.1 to 0.2)	(0.2 to 0.25)	(0.25 to 0.3)	(0.3 to 0.4)	(0.4 to 0.5)	(0.5 to 1.0)	£		
		(excl 2 yo's)	£0	£40	£60	£100	£125	£150	£175	£200			
259909	Kim Rawlings	2	50.00%	0.00%	50.00%	0.00%	0.00%	0.00%	0.00%	0.00%	£60	£550	-£490
519250	Kintbury Pre-School	11	0.00%	72.73%	27.27%	0.00%	0.00%	0.00%	0.00%	0.00%	£500	£0	£500
512698	Ladybirds Pre-School	40	15.00%	40.00%	35.00%	2.50%	0.00%	7.50%	0.00%	0.00%	£2,030	£928	£1,102
584549	Lilliput Pre-School	36	52.78%	16.67%	25.00%	0.00%	2.78%	2.78%	0.00%	0.00%	£1,055	£350	£705
540551	Little Bears Day Nursery	22	4.55%	36.36%	31.82%	13.64%	4.55%	4.55%	4.55%	0.00%	£1,490	£6,994	-£5,504
518010	Little Jogs Day Nursery	13	7.69%	38.46%	53.85%	0.00%	0.00%	0.00%	0.00%	0.00%	£620	£0	£620
#REF!	Little Rainbows Day Nursery	14	28.57%	28.57%	35.71%	0.00%	7.14%	0.00%	0.00%	0.00%	£585	£200	£385
540552	Little Sunflowers	16	18.75%	56.25%	25.00%	0.00%	0.00%	0.00%	0.00%	0.00%	£600	£200	£400
558976	Little Tots Nursey	52	42.31%	34.62%	17.31%	1.92%	0.00%	3.85%	0.00%	0.00%	£1,660	£550	£1,110
595402	Love Lane Pre-School	21	0.00%	9.52%	76.19%	0.00%	9.52%	4.76%	0.00%	0.00%	£1,440	£919	£521
512505	Mortimer Kindergarten	11	0.00%	27.27%	72.73%	0.00%	0.00%	0.00%	0.00%	0.00%	£600	£0	£600
517837	Mortimer Pre-School	31	0.00%	54.84%	45.16%	0.00%	0.00%	0.00%	0.00%	0.00%	£1,520	£0	£1,520
517262	Mrs Williams Pre-School	22	36.36%	9.09%	45.45%	4.55%	4.55%	0.00%	0.00%	0.00%	£905	£0	£905
	Newbury Gardens Day Nursery	4	50.00%	25.00%	0.00%	0.00%	0.00%	0.00%	25.00%	0.00%	£215	£0	£215
	Openfields Nursery School	0	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	£0	£0	£0
525004	Pangbourne Day Nursery	15	60.00%	33.33%	6.67%	0.00%	0.00%	0.00%	0.00%	0.00%	£260	£0	£260
595400	Pangbourne Valley Playgroup	15	13.33%	60.00%	13.33%	0.00%	0.00%	13.33%	0.00%	0.00%	£780	£0	£780
	Paula Grimes	1	0.00%	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	£60	£0	£60
523306	Pelican Day Nursery	37	18.92%	29.73%	29.73%	8.11%	10.81%	2.70%	0.00%	0.00%	£2,050	£2,115	-£65
523863	Pied Piper Pre-School	41	21.95%	14.63%	60.98%	0.00%	2.44%	0.00%	0.00%	0.00%	£1,865	£1,024	£841
582236	Playmates Pre-School	43	27.91%	6.98%	60.47%	0.00%	4.65%	0.00%	0.00%	0.00%	£1,930	£1,950	-£20
110748	Playstart Group	9	11.11%	77.78%	11.11%	0.00%	0.00%	0.00%	0.00%	0.00%	£340	£0	£340
517630	Pumpkins Pre-School	13	53.85%	23.08%	23.08%	0.00%	0.00%	0.00%	0.00%	0.00%	£300	£0	£300
516873	Purley Pre-School	24	25.00%	54.17%	16.67%	0.00%	4.17%	0.00%	0.00%	0.00%	£885	£700	£185
522592	Quackers Day Nursery	38	31.58%	47.37%	18.42%	0.00%	2.63%	0.00%	0.00%	0.00%	£1,265	£340	£925
515221	Rainbow Montessori	23	39.13%	30.43%	30.43%	0.00%	0.00%	0.00%	0.00%	0.00%	£700	£0	£700
299346	Renate Hughes	0	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	£0	£0	£0
515836	Rockinghorse Day Nursery	48	22.92%	31.25%	25.00%	6.25%	6.25%	6.25%	2.08%	0.00%	£2,620	£1,688	£932
	Sarah Scott Cound	1	100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	£0	£0	£0
540557	Sparklers Pre-School	31	25.81%	67.74%	6.45%	0.00%	0.00%	0.00%	0.00%	0.00%	£960	£0	£960
540547	Springburn Childcare	26	23.08%	26.92%	42.31%	0.00%	7.69%	0.00%	0.00%	0.00%	£1,190	£700	£490
514344	St Andrews School Nursery	27	59.26%	33.33%	3.70%	3.70%	0.00%	0.00%	0.00%	0.00%	£520	£0	£520
584514	St Catherines Pre-School	27	18.52%	55.56%	25.93%	0.00%	0.00%	0.00%	0.00%	0.00%	£1,020	£550	£470
540558	St Gabriels School	13	38.46%	15.38%	30.77%	0.00%	15.38%	0.00%	0.00%	0.00%	£570	£350	£220
519304	St Georges Pre-School	39	30.77%	33.33%	35.90%	0.00%	0.00%	0.00%	0.00%	0.00%	£1,360	£389	£971
595404	St Johns Pre-School	29	10.34%	55.17%	17.24%	17.24%	0.00%	0.00%	0.00%	0.00%	£1,440	£2,704	-£1,264
540539	St Peters Pre-School	20	60.00%	25.00%	10.00%	5.00%	0.00%	0.00%	0.00%	0.00%	£420	£0	£420
518688	Streatley Hill Pre-School	19	89.47%	10.53%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	£80	£0	£80
540564	Sunshine Club	6	50.00%	33.33%	0.00%	16.67%	0.00%	0.00%	0.00%	0.00%	£180	£200	-£20
581144	Teddy Bears Pre-School	13	0.00%	7.69%	92.31%	0.00%	0.00%	0.00%	0.00%	0.00%	£760	£0	£760
523213	Thatcham Pre-School	29	20.69%	27.59%	48.28%	0.00%	3.45%	0.00%	0.00%	0.00%	£1,285	£682	£603
540549	The Cedars School	2	50.00%	50.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	£40	£0	£40
518752	The Colourful Caterpillar	53	18.87%	33.96%	39.62%	0.00%	5.66%	1.89%	0.00%	0.00%	£2,505	£1,100	£1,405
540562	The Grange Nursery	42	23.81%	21.43%	50.00%	0.00%	4.76%	0.00%	0.00%	0.00%	£1,870	£550	£1,320
540559	The Montessori Schoolhouse	13	0.00%	23.08%	69.23%	0.00%	0.00%	0.00%	7.69%	0.00%	£835	£0	£835
585165	The Orchard Day Nursery	26	53.85%	42.31%	3.85%	0.00%	0.00%	0.00%	0.00%	0.00%	£500	£0	£500
510088	The Village Montessori Nursery	42	38.10%	50.00%	4.76%	4.76%	2.38%	0.00%	0.00%	0.00%	£1,285	£1,150	£135



		Jan-14	PERCENTAGE OF CHILDREN (WIITH SCORE) IN SETTING JANUARY 2014								TOTAL	2013/14	CHANGE
		CENSUS	OUTSIDE	BAND 1	BAND 2	BAND 3	BAND 4	BAND 5	BAND 6	BAND 7	SUPPLEMENT	Supplement	
		CHILD NO's	BANDING	(0.05 to 0.1)	(0.1 to 0.2)	(0.2 to 0.25)	(0.25 to 0.3)	(0.3 to 0.4)	(0.4 to 0.5)	(0.5 to 1.0)	£		
		(excl 2 yo's)	£0	£40	£60	£100	£125	£150	£175	£200			
	Theale Under Fives	0	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	£0	£0	£0
519312	Tigers Day Nursery	84	21.43%	38.10%	22.62%	3.57%	8.33%	4.76%	1.19%	0.00%	£4,370	£2,461	£1,909
540544	Tigers Too Day Nursery	29	27.59%	10.34%	51.72%	0.00%	3.45%	6.90%	0.00%	0.00%	£1,445	£340	£1,105
400867	Wendy Elliot	2	50.00%	50.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	£40	£0	£40
	Wendy Kim Elliot	1	0.00%	0.00%	0.00%	100.00%	0.00%	0.00%	0.00%	0.00%	£100		£100
518637	Westwood Farm Pre-School	58	67.24%	5.17%	13.79%	8.62%	3.45%	1.72%	0.00%	0.00%	£1,500	£1,077	£423
581522	Woodlands Day Nursery	38	28.95%	28.95%	34.21%	5.26%	2.63%	0.00%	0.00%	0.00%	£1,545	£200	£1,345
92000	Calcot Infant School and Nursery	48	10.42%	22.92%	37.50%	0.00%	29.17%	0.00%	0.00%	0.00%	£3,270	£6,023	£-2,753
93100	Fir Tree Primary School and Nursery	24	4.17%	8.33%	8.33%	0.00%	20.83%	58.33%	0.00%	0.00%	£2,925	£8,350	£-5,425
93900	John Rankin Infant and Nursery School	27	22.22%	25.93%	29.63%	18.52%	0.00%	3.70%	0.00%	0.00%	£1,410	£1,900	£-490
94300	Lambourn C.E. Primary School	30	3.33%	26.67%	36.67%	0.00%	33.33%	0.00%	0.00%	0.00%	£2,230	£4,550	£-2,320
94500	Mrs Bland's Infant School	41	29.27%	21.95%	48.78%	0.00%	0.00%	0.00%	0.00%	0.00%	£1,560	£0	£1,560
94600	Pangbourne Primary School	26	7.69%	80.77%	11.54%	0.00%	0.00%	0.00%	0.00%	0.00%	£1,020	£0	£1,020
95000	Robert Sandilands Primary School and N	30	0.00%	3.33%	43.33%	3.33%	50.00%	0.00%	0.00%	0.00%	£2,795	£6,348	£-3,553
95400	Springfield Primary School	19	26.32%	47.37%	10.53%	0.00%	10.53%	5.26%	0.00%	0.00%	£880	£0	£880
95500	Spurcroft Primary School	45	6.67%	22.22%	71.11%	0.00%	0.00%	0.00%	0.00%	0.00%	£2,320	£0	£2,320
97700	St John the Evangelist C.E. Nursery and	25	16.00%	32.00%	12.00%	32.00%	0.00%	0.00%	8.00%	0.00%	£1,650	£3,350	£-1,700
99700	Thatcham Park Primary	40	30.00%	10.00%	25.00%	0.00%	35.00%	0.00%	0.00%	0.00%	£2,510	£3,500	£-990
98700	The Willows Primary School	49	4.08%	20.41%	22.45%	0.00%	0.00%	53.06%	0.00%	0.00%	£4,960	£8,800	£-3,840
99400	The Winchcombe School	47	8.51%	17.02%	42.55%	6.38%	17.02%	6.38%	2.13%	0.00%	£3,445	£7,000	£-3,555
96600	Theale C.E. Primary School	21	0.00%	57.14%	42.86%	0.00%	0.00%	0.00%	0.00%	0.00%	£1,020	£0	£1,020
96800	Westwood Farm Infant School	16	56.25%	12.50%	31.25%	0.00%	0.00%	0.00%	0.00%	0.00%	£380	£0	£380
98200	Hungerford Nursery School Centre for C	94	0.00%	20.21%	78.72%	1.06%	0.00%	0.00%	0.00%	0.00%	£5,300	£200	£5,100
98300	Victoria Park Nursery School	123	7.32%	30.89%	19.51%	12.20%	15.45%	3.25%	11.38%	0.00%	£9,885	£23,049	£-13,164
	<b>TOTALS</b>	<b>2,857</b>									<b>£134,820</b>	<b>£130,975</b>	<b>£3,845</b>

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LA Name

869.00

LA Number

West Berkshire Council

1. EYSFF (three and four year olds) Base Rate(s) per hour, per provider type	Description		Unit Value (£)			Unit Applied	Number of Units			Anticipated Budget (£)				Proportion of funding	
			PVI	Nursery School	Primary Nursery Class		PVI	Nursery School	Primary Nursery Class	PVI	Nursery School	Primary Nursery Class	TOTAL		
1	Base Rate 1 - PVI with sole use of property (owned or rented)		£3.86			per hour	322,301			£1,244,080.32	£0.00	£0.00	£1,244,080.32		
2	Base Rate 2 - PVI with sole use of property (owned or rented) and outdoor space over 1/4 acre		£3.96			per hour	220,097			£871,582.14	£0.00	£0.00	£871,582.14		
3	Base Rate 3 - PVI Other (village/community hall or similar)		£3.70			per hour	444,359			£1,644,127.93	£0.00	£0.00	£1,644,127.93		
4	Base Rate 4 - Maintained Nursery School			£3.07		per hour		116,334		£0.00	£357,145.38	£0.00	£357,145.38		
5	Base Rate 5 - Maintained Nursery Class within Mainstream School				£3.19	per hour			261,640	£0.00	£0.00	£834,632.24	£834,632.24		
2. Supplements (please provide in written format a short explanation of your supplement payments)	Deprivation (Mandatory)	Description		PVI	Nursery School	Primary Nursery Class	Unit Applied	PVI	Nursery School	Primary Nursery Class	PVI	Nursery School	Primary Nursery Class	TOTAL	Proportion of funding
		1	IDACI Band 1: 0.05 to 0.1	£40.00	£40.00	£40.00	per child	740	122	57	£29,600.00	£4,880.00	£2,280.00	£36,760.00	
		2	IDACI Band 2: 0.1 to 0.2	£60.00	£60.00	£60.00	per child	606	167	98	£36,360.00	£10,020.00	£5,880.00	£52,260.00	
		3	IDACI Band 3: 0.2 to 0.25	£100.00	£100.00	£100.00	per child	59	17	16	£5,900.00	£1,700.00	£1,600.00	£9,200.00	
		4	IDACI Band 4: 0.25 to 0.3	£125.00	£125.00	£125.00	per child	75	68	19	£9,375.00	£8,500.00	£2,375.00	£20,250.00	
		5	IDACI Band 5: 0.3 to 0.4	£150.00	£150.00	£150.00	per child	32	45	4	£4,800.00	£6,750.00	£600.00	£12,150.00	
		6	IDACI Band 6: 0.4 to 0.5	£175.00	£175.00	£175.00	per child	7	3	14	£1,225.00	£525.00	£2,450.00	£4,200.00	
	7	IDACI Band 7: 0.5 to 1.0	£200.00	£200.00	£200.00	per child	0	0	0	£0.00	£0.00	£0.00	£0.00		
	Quality (if applicable)	Description		PVI	Nursery School	Primary Nursery Class	Unit Applied	PVI	Nursery School	Primary Nursery Class	PVI	Nursery School	Primary Nursery Class	TOTAL	Proportion of funding
		1	Quality Rate 1 - At least 1 member of staff with level 4/trainee EYPS, other staff at least 50% at level 3 OR at least 75% of staff at level 3	£0.38	£0.38	£0.38	per hour	415,017	0	0	£157,706.57	£0.00	£0.00	£157,706.57	
		2	Quality Rate 2 - At least 1 member of staff a qualified teacher or EYPS. Other staff at least 50% at level 3	£0.73	£0.73	£0.73	per hour	108,789	0	0	£79,415.90	£0.00	£0.00	£79,415.90	
		3	Quality Rate 3 - At least 1 member of staff a qualified teacher or EYPS. Other staff at least 50% at level 3 or above, all other staff at level 2	£0.94	£0.94	£0.94	per hour	152,658	0	261,640	£143,498.05	£0.00	£245,941.60	£389,439.65	
	4	Quality Rate 4 - At least 1 member of staff a qualified teacher or EYPS both with 5 years relevant early years experience, next member of staff a qualified teacher or EYPS. All other staff at level 3	£1.56	£1.56	£1.56	per hour	51,979	116,334	0	£81,086.77	£181,481.04	£0.00	£262,567.81		
	Flexibility (if applicable)	Description		PVI	Nursery School	Primary Nursery Class	Unit Applied	PVI	Nursery School	Primary Nursery Class	PVI	Nursery School	Primary Nursery Class	TOTAL	Proportion of funding
		1									£0.00	£0.00	£0.00	£0.00	
Sustainability (if applicable)	Description		PVI	Nursery School	Primary Nursery Class	Unit Applied	PVI	Nursery School	Primary Nursery Class	PVI	Nursery School	Primary Nursery Class	TOTAL	Proportion of funding	
	1									£0.00	£0.00	£0.00	£0.00		
3. Other formula factors and lump sums (if applicable)	Description		PVI	Nursery School	Primary Nursery Class	Unit Applied	PVI	Nursery School	Primary Nursery Class	PVI	Nursery School	Primary Nursery Class	TOTAL	Proportion of funding	
	1	Maintained Nursery School Lump Sum		£127,830.00		per setting			2	£0.00	£255,660.00	£0.00	£255,660.00		
4. Additional funded free hours eg full time places (if applicable)	Description		PVI	Nursery School	Primary Nursery Class	Unit Applied	PVI	Nursery School	Primary Nursery Class	PVI	Nursery School	Primary Nursery Class	TOTAL	Proportion of funding	
	1														
TOTAL FUNDING FOR EARLY YEARS SINGLE FUNDING FORMULA (3s AND 4s) :										£4,308,757.68	£826,661.42	£1,095,758.84	£6,231,177.94	78%	

		Unit Value (£)			Number of Units			Anticipated Budget (£)								
<b>5. Two year old Base Rate(s) per hour, per provider type</b>		Description			PVI	Nursery School	Primary Nursery Class	Unit Applied	PVI	Nursery School	Primary Nursery Class	PVI	Nursery School	Primary Nursery Class	TOTAL	Proportion of funding
1	Flat hourly rate for 2 year olds	£5.26	£5.26	£5.26	per hour	173,462	21,682	45,775	£912,410.12	£114,047.32	£240,776.50	£1,267,233.94				
<b>6. Two year old supplements (please provide a short explanation of your supplement payments)</b>		Description			PVI	Nursery School	Primary Nursery Class	Unit Applied	PVI	Nursery School	Primary Nursery Class	PVI	Nursery School	Primary Nursery Class	TOTAL	Proportion of funding
Quality (if applicable)	1											£0.00	£0.00	£0.00	£0.00	
Other supplements (if applicable)	1											£0.00	£0.00	£0.00	£0.00	
<b>TOTAL FUNDING FOR EARLY YEARS SINGLE FUNDING FORMULA FOR 2 YEAR OLDS:</b>												£912,410.12	£114,047.32	£240,776.50	£1,267,233.94	<b>16%</b>
<b>7. Early years contingency funding</b>		Description										Anticipated total budget		Proportion of funding		
2 Year Olds	1														0.00	
3 & 4 Years Old	2														354,540.00	
<b>8. Early years centrally retained spending</b>		Description										Anticipated total budget		Proportion of funding		
2 Year Olds	1														49,695.00	
3 & 4 Years Old	2														49,695.00	
<b>TOTAL FUNDING FOR CENTRAL EXPENDITURE:</b>												<b>£7,952,341.88</b>				

<b>West Berkshire Schools' Forum</b>	
<b>Title of Report:</b>	<b>High Needs Budget Proposals 2014-15 (Excluding PRUs)</b>
<b>Date of Meeting:</b>	<b>3<sup>rd</sup> March 2014</b>
<b>Contact Officer(s)</b>	<b>Jane Seymour</b>
<b>For Decision</b>	

## 1. Background

- 1.1 SEN Funding arrangements changed significantly in 2013-14. These changes have been the subject of previous reports to the Heads' Funding Group and the Schools Forum.
- 1.2 In summary, funding has been delegated to mainstream schools to fund the first £6,000 of each Statement of Special Educational Needs and the difference between the full cost of the Statement and £6,000 is paid to the school as "top up" funding. Places in resourced units attached to mainstream schools and in special schools are funded at £10,000 per place. The difference between the full cost of each child's place (determined by a banding system) and the place value of £10,000 is paid to the resourced or special school as "top up" funding.
- 1.3 In addition, Local Authorities have taken over responsibility for the funding of High Needs Students (HNS) in FE Colleges from the EFA. Places for HNS are funded at £10,000 per place and the difference between each student's actual costs and the £10,000 place value is paid to the College as "top up" funding.
- 1.4 When these changes in SEN funding arrangements came into effect in 2013-14 it was difficult to predict exactly what the impact would be. Based on our experience of the first three quarters of the financial year, we are now in a stronger position to predict demands on High Needs Cost Centres in 2014-15. There is always, however, a degree of estimation as we can never predict exactly how many children and young people will require statements or specialist placements. We therefore have to base our predictions of new top up costs on children who are known about already plus an estimation of new cases based on previous trends.

## 2. Mainstream Schools

- 2.1 The number of new statements being issued each year remains fairly static and there are no plans to change the values within the current banding system for mainstream statements.
- 2.2 However, we are seeing an increase in the number of high value bands being used which is to be expected given the drive to maintain children in mainstream schools and reduce out of area placements. There is therefore a need for a slight increase in this budget.
- 2.3 There is some reduction in costs for mainstream academy top ups as the EFA has now taken over full responsibility for Element 2 funding in academies. However, this is offset by a reduction in DSG grant.

Cost centre	Description	2013/14 budget	Current pupils' costs in 14-15	Contingency for new in year top ups	Total budget proposed	No of pupils	Unit cost
90621	Mainstream top up (maintained)	512,830	513,042	59,788	572,830	246	2,086
90622	Mainstream top up (academies)	362,740	140,943	20,997	161,940	109	1,293

## 3. Resourced Units attached to Mainstream Schools

- 3.1 Resourced units place funding and top up funding will remain broadly similar in 2014-15
- 3.2 There is a need to shift some funding from the maintained resource unit top up budget to the academy resource unit top up budget due to Theale Green's conversion.
- 3.3 No other changes are proposed to these budgets.

Cost centre	Description	2013/14 budget	Current pupils' costs in 14-15	Contingency for new in year top ups	Total budget proposed	No of pupils	Unit cost
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90584	Resource units place funding maintained (pre 16)	680,000			500,000	50	10,000
(DSG top slice)	Resource units place funding academies (pre 16)	717,610			690,000	69	10,000
90617	Resource units top up maintained	420,060	286,035	49,025	335,060	50	6,701
90026	Resource units top up academies	167,610	241,711	10,899	252,610	80	3,158

#### 4. Special Schools

4.1 There has been a small increase in the number of places funded at The Castle and Brookfields.

4.2 Place funding for pre 16 pupils only is shown as post 16 places are funded directly by the EFA.

4.3 There is particular pressure for places at Brookfields School but this pressure is coming largely from Reading Borough Council. If numbers go over the number of funded places, Reading Borough Council would be responsible for any additional place funding required for their pupils in addition to top up funding.

4.4 There is some increase in special school top up costs due to the increasingly complex nature of pupils placed and the efforts which are being made to retain pupils in local provision. This budget needs to increase.

Cost centre	Description	2013/14 budget	Current pupils' costs in 14-15	Contingency for new in year top ups	Total budget proposed	No of pupils	Unit cost
90540	Special schools place funding ( <u>pre 16</u> )	3,530,000			2,860,000	286	10,000
90539	Special schools top up funding	2,420,120	2,341,295	123,825	2,465,120	202	11,590

#### 5. Non West Berkshire Mainstream, Resourced and Special Schools

5.1 We are currently using fewer non West Berkshire resourced unit placements so it has been possible to reduce this budget. Otherwise the position will be largely the same as in 2013-14.

5.2 Usage of these facilities varies so this position could change in future.

Cost centre	Description	2013/14 budget	Current pupils' costs in 14-15	Contingency for new in year top ups	Total budget proposed	No of pupils	Unit cost
90624	Non WBC mainstream top up	48,210	50,700		50,700	14	3,621
90618	Non WBC resource unit top up	60,000	15,300		15,300	1	15,300
90548	Non WBC special school top up	520,000	663,900		663,900	43	15,440

## 6. Non maintained and Independent Special Schools

6.1 The budget for non maintained and independent special school placements was reduced in 2013-14 in line with reducing numbers of placements.

6.2 Strategies to reduce placements have continued to be effective. There are now just 49 children placed compared to more than 60 in previous years. It has been possible to reduce the combined independent and non maintained special school budgets by approximately 121,000 due to reducing numbers of placements.

6.3 However, expenditure in this area is always unpredictable to some degree due, for example, to children moving in to the area who have independent or non maintained special schools named in their statements and also due to the power of the SEN and Disability Tribunal to direct Local Authorities to make such placements. There are currently 4 Tribunal cases pending.

Cost centre	Description	2013/14 budget	Current pupils' costs in 14-15	Contingency for new in year top ups	Total budget proposed	No of pupils	Unit cost
90575	Non maintained special school top up	1,655,270	889,740		889,740	24	37,073
90579	Independent special school top up	832,070	1,476,030		1,476,030	25	59,041



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## 7. FE College Placements

7.1 This budget was adjusted during 2013-14 to reflect the significant costs of FE College placements.

7.2 The report which was brought to Heads' Funding Group and Schools Forum at that time indicated that the full year costs of these placements in 2014-14 would be in the region of £1,340,000.

7.3 The current prediction is £1,345,340. It is very difficult to predict at this stage in the year which FE students will leave college and which pupils will transfer to college, so we have had to assume that leavers will balance joiners. However, it may be necessary to request a further virement in to this budget during the course of the financial year.

Cost centre	Description	2013/14 budget	Current students' costs in 14-15	Contingency for new in year top ups	Total budget proposed	No of pupils	Unit cost
90580	FE College top up	893,070	1,345,340		1,345,340	73	18,429

## 8. Language and Literacy Centres (LALs)

8.1 This budget funds the primary LALs at Theale and Winchcombe schools. The LALs provide intensive literacy support for primary children with severe specific literacy difficulties. No changes are proposed to this budget.

Cost centre	Description	2013/14 budget	Total budget proposed	No of pupils	Unit cost
90555	Language and Literacy Centres	134,600	134,600	48	2,804

## 9. Specialist Inclusion Support Service

9.1 This service provides outreach support from West Berkshire's special schools to mainstream schools to support the inclusion of children with learning and complex needs in their local mainstream schools. No changes are proposed to this budget. The service provides training for schools in addition to supporting individual pupils.

<b>Cost centre</b>	<b>Description</b>	<b>2013/14 budget</b>	<b>Total budget proposed</b>	<b>No of pupils</b>	<b>Unit cost</b>
90585	Specialist Inclusion Support Service	105,650	105,650	80	1,321

## **10. Applied Behavioural Analysis (ABA) and Other Educational Programmes**

10.1 This budget supports a small number of statemented children for whom the Authority has agreed an ABA programme as part of their statement. ABA is an intensive intervention programme for children with autism which aims to modify behaviours which are typical of ASD in order to allow children to function more successfully in school and in society.

10.2 Applied behaviour analysis is a systematic way of observing someone's behaviour, identifying desirable changes in that behaviour and then using the most appropriate methods to make those changes. ABA is based on theories of operant conditioning – the idea that people change their behaviour as a consequence of the rewards or punishments they receive following that behaviour. An ABA therapist may use a variety of techniques to change someone's behaviour. For example, the therapist may try to improve a child's communication and social skills by demonstrating more effective ways to interact with other children and then rewarding him when he demonstrates the improved behaviours. The therapist will then analyse how well that approach has worked and, if necessary, make changes to the intervention to improve the child's behaviour next time around

10.3 This budget also covers the cost of children accessing other "miscellaneous" educational programmes, such as The Lighthouse Project etc.

<b>Cost centre</b>	<b>Description</b>	<b>2013/14 budget</b>	<b>Total net budget proposed</b>	<b>No of pupils</b>	<b>Unit cost</b>
90240	Applied Behavioural Analysis	136,580	138,630	11	12,603

## **11. SEN Pre School Children**

11.1 This budget provides one to one support to enable children with SEN to access non maintained and voluntary pre- school settings. The budget needs to be increased as the extension of two year old funding to children with additional needs has increased the cohort of children

who attend these settings and who could not attend without additional adult support.

Cost centre	Description	2013/14 budget	Total net budget proposed	No of pupils	Unit cost
90238	SEN Pre School Children	38,220	50,210	38	1,321

## 12. Special Needs Support Team

12.1 The Special Needs Support Team provides advice, support and training to mainstream schools to help them to meet the needs of children with SEN. No change to this budget is proposed.

12.2 Unit cost data is not applicable as the service offers consultancy support, mentoring of SENCOs, training etc and assessment of individual pupils is a relatively small part of their work.

Cost centre	Description	2013/14 budget	Total net budget proposed	No of pupils	Unit cost
90280	Special Needs Support Team	311,410	318,300 approx	N/A	N/A

## 13. Sensory Impairment

13.1 Support for children with hearing, visual and multi sensory impairments is purchased from the Berkshire Sensory Consortium Service. This includes support from qualified teachers of HI and VI, audiology and mobility support. No changes to this budget are proposed.

Cost centre	Description	2013/14 budget	Total net budget proposed	No of pupils	Unit cost
90290	Sensory Impairment	227,420	227,420	154	1,477

## 14. Equipment for SEN Pupils

- 14.1 This budget funds large items of equipment which are beyond the scope of a school's budget such as specialist chairs and communication aids. No changes to this budget are proposed.

<b>Cost centre</b>	<b>Description</b>	<b>2013/14 budget</b>	<b>Total net budget proposed</b>	<b>No of pupils</b>	<b>Unit cost</b>
90565	Equipment for SEN pupils	38,470	38,470	N/A	N/A

### **15. Engaging Potential**

- 15.1 Engaging Potential is a commissioned service providing alternative educational packages for young people in Key Stage 4 with statements for behavioural, emotional and social difficulties whose needs cannot be met in any other provision. A small increase in this budget is needed as the running costs of its new premises are higher than the running costs of the premises where the project was previously based.

<b>Cost centre</b>	<b>Description</b>	<b>2013/14 budget</b>	<b>Total net budget proposed</b>	<b>No of pupils</b>	<b>Unit cost</b>
90577	SEN Commissioned Provision	448,890	459,115	14	32,794

### **16. ASD Advisory Service**

- 16.1 The ASD Advisory Service provides advice, support and training for mainstream schools on meeting the needs of children with Autistic Spectrum Disorder. No changes to this budget are proposed.

<b>Cost centre</b>	<b>Description</b>	<b>2013/14 budget</b>	<b>Total net budget proposed</b>	<b>No of pupils</b>	<b>Unit cost</b>
90830	ASD Advisory Service	118,360	119,950	518	231

### **17. Early Intervention**

- 17.1 This budget supports early intervention for children in pre schools, Foundation Stage and Key Stage 1 with speech and language difficulties, including training for staff in settings in schools. There has been over provision in this budget so it can be reduced whilst still

maintaining current levels of activity. The balance of £12,000 has been moved to the SEN Pre School Children budget in order to address a pressure in this area.

<b>Cost centre</b>	<b>Description</b>	<b>2013/14 budget</b>	<b>Total net budget proposed</b>	<b>No of pupils</b>	<b>Unit cost</b>
90957	Early Intervention	45,510	33,510	N/A	N/A

### **18. SEN Inclusion**

18.1 This budget supplements the Special Needs Support Team and covers some of the cost of the training which the team provides for schools, including SENCO training. No changes to this budget are proposed.

<b>Cost centre</b>	<b>Description</b>	<b>2013/14 budget</b>	<b>Total net budget proposed</b>	<b>No of pupils</b>	<b>Unit cost</b>
90965	SEN Inclusion	28,780	28,780	N/A	N/A

**Recommendation: To agree the proposed budgets as set out in this report**

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<b>West Berkshire Schools' Forum</b>	
<b>Title of Report:</b>	<b>Pupil Referral Unit Budget and Funding Arrangement Proposals 2014/15</b>
<b>Date of Meeting:</b>	<b>3<sup>rd</sup> March 2014</b>
<b>Contact Officer(s)</b>	<b>Cathy Burnham &amp; Ian Pearson</b>
<b>For Decision</b>	

## 1. Background

- 1.1 The funding of Pupil Referral Units (PRU) changed in April 2013 from being a centrally retained funded and managed service to each unit having a delegated budget and funded by means of place funding plus top up funding from the commissioner of the place.
  
- 1.2 The number of places to be funded is agreed by the DfE, and this funding is fixed at £8,000 per place for the full financial year. The place funding is received by the local authority within the Dedicated Schools Grant (DSG) High Needs allocation and passed on to the relevant unit.
  
- 1.3 The cost of each place over and above £8,000 is met by a top up fee, which is paid by the commissioner of the place at a daily rate for the actual number of days the pupil is in the unit. Generally, the commissioner of the place is the local authority (where the pupil lives) for permanent exclusions, and the school for fixed term exclusions. The local authority contribution is paid from the High Needs DSG allocation and the schools' contributions are paid from their delegated budgets.
  
- 1.4 For 2013/14, the local authority has subsidised payments due from schools from the high needs budget, and no additional funds were delegated to schools.
  
- 1.5 This report sets out the budget required to be set for payments from the high needs budget in 2014/15, and the funding arrangements for the commissioning of places in each PRU.

## 2. Budget Proposal for 2014/15

- 2.1 The place funding and the top up funding for places commissioned by the local authority is met from the High Needs Block. This block also pays for Home Tuition and any other services commissioned from the PRUs. The proposed budget for 2014/15 is as follows:

	<b>Budget 2013/14</b>	<b>Forecast 2013/14</b>	<b>Budget 2014/15</b>
PRU Place Funding	672,000	672,000	672,000
PRU Top Up Funding	812,610	1,105,500	1,205,500
PRU Outreach	76,880	197,000	197,000
Home Tuition	148,270	282,000	282,000
Vulnerable Children	80,000	80,000	80,000
<b>TOTAL</b>	<b>1,789,760</b>	<b>2,336,500</b>	<b>2,436,500</b>

2.2 The place funding received in 2013/14 was based on 84 places – 36 places in the Reintegration Service and 48 places in the Alternative curriculum. A bid for the same number of places has been made to the DfE for 2014/15. Notification of the actual number of places to be funded is due by the end of March 2014.

2.3 Top up funding is demand driven and the 2013/14 forecast has been calculated according to actual placement costs, some of which were on higher bandings than anticipated. A contingency of £100,000 has been added to the 2013/14 forecast figure to allow for changes to the funding rates charged by the PRUs and revised funding arrangements with schools in 2014/15 (see below). This budget will need to be closely monitored during the year.

2.4 Outreach has overspent in 2013/14 because the original budget didn't include a LAC post and some staffing. This is now included in the 2014/15 budget.

2.5 Home Tuition is overspent in 2013/14 due to additional tutor costs. This is now included in the 2014/15 budget.

2.6 The vulnerable children's grant has been fully spent. A report will follow which analyses this funding. It is proposed that this funding arrangement for schools continues for 2014/15.

### **3. Funding Arrangement Proposals for 2014/15**

3.1 Appendix A sets out the arrangements that have been in place for 2013/14 and the proposed arrangements for 2014/15 – for each type of placement, the commissioner of the place is listed alongside the payment arrangements and funding flows.

#### **3.2 New proposal for Primary schools:**

The LA continues to meet the full cost of permanent exclusions, and the relevant AWPU is repaid to the LA by the excluding school. For all other placements, schools pay only 50% of the lowest funding band regardless of the resource needs of the pupil, with the balance paid by the LA from the High Needs block. This is at the fixed daily rate set by the Reintegration Service, but with a cap set at 12 weeks (approximately £2,250). This allows security and certainty of costs for schools. If a pupil is not ready to reintegrate after 12 weeks (as decided jointly between the school and LA with advice from other



professionals) then all further costs of the placement is met in full by the LA from the High Needs Block to prevent need for permanent exclusion.

### 3.3 New proposals For Secondary schools:

The LA continues to meet the full cost of permanent exclusions, and the relevant AWPU is repaid to the LA by the excluding school. For all other placements:

Alternative Curriculum: Schools pay £1,000 per term (£3,000 per year) towards the cost of the placement for a maximum period of 2 years. The balance is paid by the LA from the High Needs Block. Schools retain the AWPU to provide them with the funding to be used towards making the payment. Pupils remain on the school's roll until after October census which provides them with the AWPU funding for year 2 if relevant. The pupil will then be on dual roll with the PRU.

Reintegration Service: schools to pay only the lowest funding band regardless of the resource needs of the pupil with the balance paid by the LA from the High Needs block. This is at the fixed daily rate set by the Reintegration Service, but with a cap set at 6 weeks (approximately £2,250). If reintegration is not considered appropriate after this time, the funding of a continued place at RS will be met in full by the LA from the High Needs Block to prevent need for permanent exclusion.

3.4 In summary, the difference between the actual cost of a PRU place and the cost to schools will be paid by the LA from the high Needs Block.

3.5 The actual funding rates for 2014/15 will be notified by the PRUs in due course once they have completed their budget estimates for 2014/15.

3.6 The Reintegration Service will be developing more capacity for primary outreach and Early Intervention Groups, with funding from the High Needs Block.

3.7 A working group reporting back to the Schools' Forum will be formed in the summer to discuss further funding arrangements for 15/16. This may include options for paying 'upfront' for places or working in partnerships or clusters to pay for blocks of places.

### **RECOMMENDATION:**

1. The 2014/15 High Needs budgets as detailed in section 2 are approved
2. The 2014/15 funding arrangements for PRUs as set out in section 3 are approved

### **Appendices**

Appendix A – PRU Funding Arrangements 2013/14 and 2014/15

## Pupil Referral Unit Funding Changes: a briefing April 2013

From 1 April 2013, the management committees of PRUs will have delegated budgets. The Reintegration Service and the Alternative Curriculum will function as 2 schools, but with one joint management committee acting as a Governing Body. Home Education will remain within The Reintegration Service but will be separately funded so while there will be changes to its structure and procedures (see separate information to follow), schools will see no changes to the current Home Education funding arrangements.

The way PRUs receive their funding will be changing from April 2013, in line with the Government's school funding reforms for High Needs pupils. The PRUs will receive base funding of £8,000 per place, with the number of places being agreed by the DFE. This will then be subject to a top up funding from the commissioning LA or school for each pupil admitted to the PRU.

n.b. The top up rates and payment process as set out in this briefing will be in place for 2013/14 only. It will be monitored throughout the year and amendments will be proposed for 2014/15. The PRUs and the LA, in close consultation with the schools, will work on a re-structuring of the service in order to ensure value for money and effective provision. This year, secondary schools will not have to pay a £3500 lump sum to The Reintegration Service for managing the fixed term exclusions, but will pay the daily top up rate for their actual admissions.

The top up funding is calculated on the needs of each individual pupil, and the PRUs have identified 4 bands of student based on the staffing ratio required (see below). The banding needs to be agreed by the PRU Headteacher and the commissioner prior to the pupil taking up a place. Top up funding is payable for the actual number of days the pupil is allocated the place.

<b>Pupil Referral Units ***</b>				
<b>New Banding</b>	<b>Staffing Ratio Funding is Based On</b>	<b>Total Funding Rate 2013/14</b>		
		<b>£</b>	<b>Top Up £ Annual</b>	<b>Top Up £ Daily Rate</b>
Band 1	Teacher 1:6, TA 1:6	22,191	14,191	74.69
Band 2	as band 1 + 25% 1:1	26,278	18,278	96.20
Band 3	as band 1 + 50% 1:1	30,364	22,364	117.71
Band 4	as band 1 + 100% 1:1	38,536	30,536	160.72

\*\*\*2014/15 Rates still to be determined

The funding for pupils attending **The Reintegration Service** is as follows:

Pupil Profile	Base funding from DfE via LA	Commissioner	Cost to commissioner	Funding Transferred	Payment method	Proposed Change for 2014/15
1. Primary permanent exclusion	£8k	LA	Top up according to banding	AWPU repaid by school to LA (pro rata)	LA pays RS 6 weekly/half termly in arrears	No change
2. Primary permanent exclusion with SEN statement	£8k	LA	Top up according to banding	AWPU & SEN top up repaid by school to LA (pro rata)	LA pays RS 6 weekly/half termly in arrears	No change
3. Primary fixed term exclusion	£8k	school	Reduced costs this year only. School to pay 50% of top up at daily rate. LA to pay 50% of top up at daily rate	School keeps AWPU	RS invoices school / LA pays at end of fixed period (or 6 weekly/half termly if a longer placement)	School to pay 50% of band 1 top up at daily rate up to a maximum of 60 days. LA to pay balance (full cost after 60 days)
4. Primary fixed term exclusion with SEN statement	£8k	school	Reduced costs this year only. School to pay 50% of top up according to banding at daily rate. LA to pay 50% of top up at daily rate	School keeps AWPU & SEN top up	RS invoices school / LA pays at end of fixed period (or 6 weekly/half termly if a longer placement)	School to pay 50% of band 1 top up at daily rate up to a maximum of 60 days. LA to pay balance (full cost after 60 days)  School <u>does not</u> receive SEN top up
5. Primary short courses and part-time and full time in-reach placements	£8k	school	Reduced costs this year only. School to pay 50% of top up according to banding at daily rate. LA to pay 50% of top up at daily rate	School keeps AWPU (& SEN top up if relevant)	RS invoices school / LA pays at end of fixed period (or 6 weekly/half termly if a longer placement)	As above

Pupil Profile	Base funding from DfE via LA	Commissioner	Cost to commissioner	Funding Transferred	Payment method	Proposed Change for 2014/15
6. Primary pupils currently in Reintegration Service at 1/4/13	£8k	school	No extra costs to school. LA to fund 100% of top up	School keeps AWPU unless on single role at RS	LA pays RS 6 weekly/half termly	No longer applicable
7. Secondary permanent exclusion	£8k	LA	Top up according to banding. School repays AWPU to LA.	AWPU repaid by school to LA	LA pays RS 6 weekly/half termly	No change
8. Secondary permanent exclusion with SEN statement	£8k	LA	Top up according to banding if LA is the commissioner. School repays AWPU to LA. School repays SEN top up to LA.	AWPU and any SEN top up repaid by school to LA	LA pays RS 6 weekly/half termly	No change
9. Secondary fixed term exclusion	£8k	school	Top up according to banding	School keeps AWPU	RS invoices school at end of fixed period (or 6 weekly/half termly if a longer placement)	School to pay band 1 top up at daily rate up to a maximum of 30 days. LA to pay balance (full cost after 30 days)
10. Secondary fixed term exclusion with SEN statement	£8k	school	Top up according to banding	School keeps AWPU & SEN top up	RS invoices school at end of fixed period (or 6 weekly/half termly if a longer placement)	School to pay band 1 top up at daily rate up to a maximum of 30 days. LA to pay balance (full cost after 30 days)  School <u>does not</u> receive SEN top up

<b>Pupil Profile</b>	<b>Base funding from DfE via LA</b>	<b>Commissioner</b>	<b>Cost to commissioner</b>	<b>Funding Transferred</b>	<b>Payment method</b>	<b>Proposed Change for 2014/15</b>
<b>11. Secondary at risk of exclusion, full or part time place</b>	£8k	school	Top up according to banding at daily rate.	School keeps AWPU (& SEN top up if relevant)	RS invoices school at end of fixed period (or 6 weekly/half termly if a longer placement)	As above
<b>12. LAC pupils &amp; UAS cared for by West Berkshire</b>	£8k	LA	Top up according to banding	Payment made from LAC budget	LA/Virtual Headteacher pays RS 6 weekly/half termly	No change
<b>13. Pupils placed by PPP on a temporary basis until integrated into a new school</b>	£8k	LA	Top up according to banding	AWPU and any SEN top up repaid by school to LA, who then transfers the relevant portion of this funding to the new school	LA pays RS 6 weekly/half termly in arrears	No change
<b>14. Pupils from out of area without a school, or coming from an Out-County PRU</b>	£8k	LA	Top up according to banding	N/A	RS invoices relevant commissioner at the end of a fixed period or 6 weekly/half termly	No change

The funding for pupils attending the KS4 **Alternative Curriculum** is as follows:

Pupil Profile	Base funding from DfE via LA	Commissioner	Cost to commissioner	Funding Transferred	Payment	Proposed Change for 2014/15
<b>1. Permanently excluded - admission via PPP</b>	£8k	LA	Top up according to banding	AWPU & any SEN top up repaid by school to LA.	In April for summer term. In September & January with adjustments	No change
<b>2. Requested change of placement – admission via PPP</b>	£8k	school	School to pay a reduced rate, for this year only, of £3k per year (£1k per term from September 2013). LA to fund remaining top up costs according to banding.	AWPU & any SEN top up repaid by school to LA.	PRU to invoice school termly. LA pays top up termly	School to pay £1,000 per term (£3,000 per year) for a maximum of 2 years. LA to fund remaining top up costs according to banding.  School keeps AWPU <u>but not</u> SEN top up.  Pupil to remain on school roll until after the October census following their placement
<b>3. Pupils from out of area without a school, or coming from an Out-County PRU</b>	£8k	LA	Top up according to banding	N/A	PRU to invoice commissioning LA	No change

<b>West Berkshire Schools' Forum</b>	
<b>Title of Report:</b>	<b>Overall DSG Budget for 2014/15</b>
<b>Date of Meeting:</b>	<b>3<sup>rd</sup> March 2014</b>
<b>Contact Officer(s)</b>	<b>Claire White, Shannon Coleman-Slaughter, and Ian Pearson</b>
<b>For Decision</b>	

## 1. School Funding Settlement 2014/15

1.1 As previously reported, the Department for Education (DfE) announced the School Funding Settlement for 2014/15 on 18<sup>th</sup> December 2013. There has been no change to the funding rates compared to 2013/14 – the settlement is cash flat per pupil. As in 2013/14 DSG funding is split into 3 funding blocks, each calculated in a different way. The blocks are not ring fenced, though provide a guideline on how the funding should be allocated, and where possible the aim should be to maintain this

1.2 The breakdown of the funding for the 3 blocks is detailed in Appendix A. Currently, only the Schools Block is confirmed and the funding received and the allocation of this block was detailed and agreed at the last meeting of the Schools' Forum.

1.3 The Early Years block funding is detailed in a separate report on this agenda.

1.4 The High Needs block funding is fixed at the 2013/14 level plus an additional £448k for the full year adjustment of Academies/Further Education which commenced September 2013. There will be a further adjustment following the place number review, and the final allocation will be notified in March 2014.

## 2. Estimated DSG Funding and Budget Requirement 2014/15

2.1 The following table summarises the current DSG allocation notified for 2014/15, compared to an estimate of the budget requirement, for each funding block. The breakdown of the budget, split between the three blocks is shown in Appendix B.

	<b>DSG Funding £'000</b>	<b>Budget Estimate £'000</b>	<b>Headroom/ (Shortfall) £'000</b>
<b>Schools Block</b>	£95,150	£95,177	(£27)
<b>Early Years Block</b>	£7,980	£7,980	0
<b>High Needs Block</b>	£17,036	£17,308	(£272)
<b>High Needs Carry Forward from 2013/14</b>	£1,518		£1,518
<b>Total</b>	<b>£121,684</b>	<b>£120,465</b>	<b>£1,219</b>

2.2 As already stated, the Schools block is already agreed. The detailed proposals for the Early Years block and High Needs block are set out in separate reports on this agenda.

2.3 Due to the high underspend likely to be carried forward from the High Needs block in 2013/14, there is "one-off" funding of £1,219k underallocated. It is recommended that this remains in the High Needs Contingency, given that there are still a number of uncertainties on demands on this budget, in particular for high needs pupils in further education. This funding can also be utilised for any other high needs purposes or projects identified as a requirement during the year and agreed by the Schools' Forum. Should a balance remain towards the end of the financial year, an option would be to allocate out to schools as part of their 2015/16 funding, and this would need to be determined at the January 2015 meeting of the Schools' Forum.

**Recommendation: To agree the DSG Budget proposals for 2014/15**

### **Appendices**

Appendix A - Estimated DSG Funding 2014/15

Appendix B – Proposed DSG Budget 2014/15



1 <b>Estimated DSG Funding 2014/15 as at MARCH 2014</b>		
2		
	<b>Final 2013/14</b>	<b>Estimate 2014/15</b>
3 <b>SCHOOLS BLOCK</b>	<b>Oct 2012 census</b>	<b>Oct 2013 census</b>
4 Pupil Numbers		
5 School Census - Mainstream	21,812.0	21,922.0
6 Add: Reception Uplift	55.0	46.0
7 Less: Pupils/Places in Resource Units	-131.0	-119.0
8 <b>Total Pupil numbers</b>	<b>21,736.0</b>	<b>21,849.0</b>
9		
10 DSG Guaranteed Unit of Funding	£4,359.00	£4,359.00
11 DSG based on pupil numbers	£94,747,224	£95,239,791
12		
13 Plus: Adjustment for NQT	£33,000	£33,115
14 Less: Reduction for Carbon Reduction Commitment		-£123,140
15		
16 ADD Carry Forward from Previous Year		
17		
18 <b>Total Schools Block including Academies</b>	<b>94,780,224</b>	<b>95,149,766</b>
19		
20 <b>EARLY YEARS BLOCK (Provisional)</b>	<b>Jan 2013 census</b>	<b>Jan 2014 census</b>
21 Pupil Numbers		
22 School Census - Mainstream	415.7	421.0
23 Early Years Census	1,043.7	1,114.0
24 Adjustment for universal provision	0.0	0.0
25 <b>Total Pupil numbers</b>	<b>1,459.4</b>	<b>1,535.0</b>
26		
27 DSG Guaranteed Unit of Funding	£3,911.00	£3,911.00
28 DSG based on pupil numbers	£5,707,557	£6,003,385
29		
30 Adjustment for universal provision Transitional Funding	£364,000	£0
31 Two Year Old Funding	£1,073,090	£1,316,928
32		
33 ADD Carry Forward from Previous Year		£632,030
34		
35 <b>Total Early Years Block</b>	<b>7,144,647</b>	<b>7,952,343</b>
36		
37 <b>HIGH NEEDS BLOCK</b>		
38 High Needs Budget 2013/14	16,587,354	16,587,354
39		
40 Less NMSS grant in 13/14		-40,974
41 Add NMSS grant in 14/15		43,254
42 Adjustment re 2013/14 replaced by recalculation below:		-551,229
43 Post 16 High Needs funding in schools		487,754
44 Post 16 High Needs funding outside schools		509,693
45		
46 ADD Carry Forward from Previous Year		1,518,000
47		
48 <b>Total High Needs Block</b>	<b>16,587,354</b>	<b>18,553,852</b>
49		
50 <b>TOTAL DSG FUNDING</b>	<b>118,512,225</b>	<b>121,655,961</b>
51 <b>ADD: Carry Forward from Previous Year</b>	<b>755,580</b>	
52 <b>TOTAL FUNDING AVAILABLE</b>	<b>119,267,805</b>	<b>121,655,961</b>
53		
54 <b>Expenditure Budgets (including academies, split into funding blocks)</b>		
55 <b>SCHOOLS BLOCK</b>		
56 Primary & Secondary Delegated Budgets 4 - 16	94,088,540	94,379,130
57 Schools Contingency (Growth Fund/Falling Rolls Fund)	100,000	370,000
58 Centrally Retained Schools Budget	571,390	427,410
59	<b>94,759,930</b>	<b>95,176,540</b>
60 <b>EARLY YEARS BLOCK</b>		
61 Early Years single Funding Formula - Schools	1,956,570	1,922,420
62 Early Years single Funding Formula - PVI	3,961,810	4,308,760
63 Early Years Contingency	278,000	354,540
64 2 year old Funding	755,550	1,267,230
65 Centrally Retained early years budgets	192,720	99,390
66	<b>7,144,650</b>	<b>7,952,340</b>
67 <b>HIGH NEEDS BLOCK</b>		
68 Special Schools	6,470,120	6,094,670
69 Resource Units / LALs	2,179,880	1,927,570
70 Mainstream Schools (Top Ups)	722,980	785,470
71 PRU's	1,484,610	2,074,500
72 Non Maintained/Independent Special Schools/FE	2,881,090	3,841,110
73 High Needs Contingency	1,374,900	1,500,776
74 Centrally Retained High Needs Budgets	2,249,640	2,302,985
75	<b>17,363,220</b>	<b>18,527,081</b>
76		
77 <b>Total Expenditure Budgets</b>	<b>119,267,800</b>	<b>121,655,961</b>
78		
79 <b>Headroom or (Shortfall)</b>	<b>5</b>	<b>0</b>

Proposed DSG Budget 2014/15 V4 as at March 2014														Adjustments for Budget Book/Agresso			
Description	Cost Centre	Agresso 2013/14 Original	In Year Virements	add back SSRs	add back academy recoupment	add back De-Delegations	Base Budget 2014-15	Technical Adjustments	Changes re pupil no's etc	De-delegations Agreed	Final Budget 2014-15	DSG Grant	Balance Under / (Over) spend	SSR's Remove	Balance to Contingency	DSG Academy Recoupment	Council DSG Budget
<b>Schools Block</b>																	
Primary Schools (excluding nursery)	90020	46,397,350	-287,160			509,200	46,619,390	44,200	228,230	-458,150	46,433,670						46,433,670
Academy Schools Primary	DSG top slice 90025	0			957,770		957,770	680	923,160		1,881,610					1,881,610	0
Secondary Schools (excluding 6th form funding)	90025	21,826,160	-2,936,180			59,030	18,949,010	2,720	-2,287,040	-42,950	16,621,740						16,621,740
Academy Schools Secondary	DSG top slice 90230	0			27,562,370		27,562,370	4,080	1,374,560		28,941,010					28,941,010	0
Schools in Financial Difficulty (primary schools)	90230	115,680				-115,680	0			115,470	115,470						115,470
Trade Union Costs Primary	90112	25,010				-25,010	0			24,960	24,960						24,960
Trade Union Costs Secondary	90117	15,550				-15,550	0			11,880	11,880						11,880
Support to Ethnic minority & bilingual Learners	90255	171,410		20,050		-191,460	0			187,930	187,930		19,800				168,130
Behaviour Support Services	90349	150,110		19,110		-169,220	0			160,860	160,860		18,800				142,060
Schools Finance - Supporting Schools in Fin Diff	90711	46,580		4,730		-51,310	0			0	0						0
School Contingency - Growth Fund/Falling Rolls Fund	90235	100,000					100,000		270,000		370,000						370,000
CLAMPA Licences	90583	47,000					47,000		29,120		76,120						76,120
Schools Finance - Servicing of Schools Forum	90019	95,320					95,320	-51,680	-1,420		42,220						42,220
Carbon Reduction Commitment Allowances	90028	105,000					105,000	-105,000			0						0
School Admissions	90743	176,020		148,050			324,070		-15,000		309,070			128,620			180,450
<b>Schools Block Total Expenditure</b>		<b>69,271,190</b>	<b>-3,223,340</b>	<b>191,940</b>	<b>28,520,140</b>	<b>0</b>	<b>94,759,930</b>	<b>-105,000</b>	<b>521,610</b>	<b>0</b>	<b>95,176,540</b>	<b>95,149,766</b>	<b>-26,774</b>	<b>167,220</b>	<b>0</b>	<b>30,822,620</b>	<b>64,186,700</b>
<b>Early Years Block</b>																	
Early Years Funding - Nursery Schools	90010	827,960					827,960		-18,490		809,470						809,470
Early Years Funding - Maintained Schools	90037	1,128,610					1,128,610		-15,660		1,112,950						1,112,950
Early Years Funding - PVI Sector	90036	3,961,810	170,090				4,131,900		176,860		4,308,760						4,308,760
Early Years Funding - Contingency	90018	278,000					278,000		76,540		354,540						354,540
2 year old funding	90018	755,550					755,550		511,680		1,267,230						1,267,230
Central Expenditure on Children under 5	90017	109,010		83,710			192,720		-93,330		99,390			27,090			72,300
<b>Early Years Block Total</b>		<b>7,060,940</b>	<b>170,090</b>	<b>83,710</b>	<b>0</b>	<b>0</b>	<b>7,314,740</b>	<b>0</b>	<b>637,600</b>	<b>0</b>	<b>7,952,340</b>	<b>7,952,343</b>	<b>3</b>	<b>27,090</b>	<b>0</b>	<b>0</b>	<b>7,925,250</b>
<b>High Needs Block</b>																	
Special Schools - Place Funding Pre 16	90540	3,530,000	-273,330				3,256,670		-396,670		2,860,000						2,860,000
Special Schools - Top Up Funding	90539	2,420,120					2,420,120		45,000		2,465,120						2,465,120
Non WBC Special Schools - Top Up Funding	90548	520,000					520,000		143,900		663,900						663,900
Resource Units - Place Funding Maintained Pre	90584	680,000	-87,500				592,500		-92,500		500,000						500,000
Resource Units - Place Funding Academies Pre 16	DSG top slice 90617	200,750			493,530		694,280		-4,280		690,000				690,000		0
Resource Units - Top Up Funding Maintained	90026	420,060					420,060		-85,000		335,060						335,060
Resource Units - Top Up Funding Academies	90026	167,610					167,610		85,000		252,610						252,610
Non WBC Resource Units - Top Up Funding	90618	60,000					60,000		-44,700		15,300						15,300
Mainstream - Top Up Funding Maintained	90621	512,830					512,830		60,000		572,830						572,830
Mainstream - Top Up Funding Academies	90622	362,740			-200,800		161,940				161,940						161,940
Non WBC Mainstream - Top Up Funding	90624	48,210					48,210		2,490		50,700						50,700
Pupil Referral Units - Place Funding	90320	672,000					672,000				672,000						672,000
Pupil Referral Units - Top Up Funding	90625	812,610					812,610		392,890		1,205,500						1,205,500
Non WBC PRU's - Top Up Funding	90626	0					0				0						0
Non Maintained Special School Place Funding	DSG top slice 90575	0			220,000		220,000		-90,000		130,000				130,000		0
Non Maintained Special School Top Up	90579	1,655,270					1,655,270		-765,530		889,740						889,740
Independent Special School Place & Top Up	90579	832,070					832,070		643,960		1,476,030						1,476,030
Further Education Colleges Top Up	90580	183,750	709,320				893,070		452,270		1,345,340						1,345,340
Contingency for in year Top Ups	90237	619,320	418,070				1,037,390	-755,580			281,810			-1,218,966			1,500,776
LAL Funding	90555	134,600					134,600				134,600						134,600
HN Outreach Special schools	90585	105,650					105,650				105,650						105,650
HN Outreach PRU	90582	76,880					76,880		120,120		197,000						197,000
Applied Behaviour Analysis (APB)	90240	136,580		16,400			152,980		-14,350		138,630						138,630
Sen Pre School Children	90238	38,220		3,900			42,120		28,814		70,934		20,724				50,210
Special Needs Support Team	90280	311,370		144,070			455,440		-5,761		449,679		131,379				318,300
Sensory Impairment	90290	227,420		23,210			250,630		70,687		321,317		93,877				227,440
Home Tuition	90315	148,270		88,450			236,720		45,280		282,000						282,000
Equipment For SEN Pupils	90565	38,470		3,930			42,400		11,949		54,349		15,879				38,470
SEN Commissioned Provision	90577	448,890		45,810			494,700		153,916		648,616		189,501				459,115
ASD Teachers	90830	118,360		61,980			180,340		-10,880		169,460		49,510				119,950
Early Intervention	90957	45,510		42,070			87,580		-40,239		47,341		13,831				33,510
Vulnerable Children	90961	80,000					80,000				80,000						80,000
SEN Inclusion	90965	28,780		15,420			44,200		-3,541		40,659		11,879				28,780
<b>High Needs Block Total</b>		<b>15,636,340</b>	<b>766,560</b>	<b>445,240</b>	<b>512,730</b>	<b>0</b>	<b>17,360,870</b>	<b>-755,580</b>	<b>702,825</b>	<b>0</b>	<b>17,308,115</b>	<b>18,553,852</b>	<b>1,245,737</b>	<b>526,580</b>	<b>-1,218,966</b>	<b>820,000</b>	<b>17,180,501</b>
<b>TOTAL EXPENDITURE</b>		<b>91,968,470</b>	<b>-2,286,690</b>	<b>720,890</b>	<b>29,032,870</b>	<b>0</b>	<b>119,435,540</b>	<b>-860,580</b>	<b>1,862,035</b>	<b>0</b>	<b>120,436,995</b>	<b>121,655,961</b>	<b>1,218,966</b>	<b>720,890</b>	<b>-1,218,966</b>	<b>31,642,620</b>	<b>89,292,451</b>
<b>DSG GRANT</b>	90030	<b>-92,689,360</b>	<b>2,286,690</b>	<b>0</b>	<b>-29,032,870</b>	<b>0</b>	<b>-119,435,540</b>	<b>860,580</b>	<b>-3,081,001</b>	<b>0</b>	<b>-121,655,961</b>					<b>31,642,620</b>	<b>-90,013,341</b>
<b>NET POSITION</b>		<b>-720,890</b>	<b>0</b>	<b>720,890</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>-1,218,966</b>	<b>0</b>	<b>-1,218,966</b>						<b>-720,890</b>

<b>West Berkshire Schools' Forum</b>	
<b>Title of Report:</b>	<b>DSG Monitoring 2013-14, Month 10</b>
<b>Date of Meeting:</b>	<b>3<sup>rd</sup> March 2014</b>
<b>Contact Officer(s)</b>	<b>Ian Pearson, Shannon Coleman-Slaughter</b>
<b>For Discussion</b>	

## **1. Background**

- 1.1 The main source of funding for schools is the Dedicated Schools Grant (DSG). It is a ring fenced specific grant and can only be used on school/pupil activity.
- 1.2 The grant is split into three funding blocks. The schools block is calculated by multiplying a guaranteed unit of funding per pupil (set by the DfE) by the actual pupil numbers from the October census count (so October 2013 census for 2014/15 budget); the early years block is calculated by multiplying a guaranteed unit of funding 5/12 from the January 2013 early years census, and 7/12 from the January 2014 early years census; the high needs block is a fixed sum based on the actual budget set by the Council in 2012/13.
- 1.3 The Local Authority is required to use national formula factors but applies local rates to distribute funding to schools.
- 1.4 Centrally Retained Overspends, unless funded from outside the DSG, are carried forward and top sliced from the following year's DSG allocation. Under spends must be carried forward to support the school's budget in future years.
- 1.5 The Authority and Schools' Forum are responsible for ensuring that the DSG is deployed correctly, and monitoring of spend against the DSG needs to take place regularly to enable decision making on overspends/under spends and to inform future year budget requirements.

## **2. Monitoring Position as at Month 10 (31st January 2014)**

- 2.1 The following is the position as at the end of January 2014. A further analysis per cost centre is shown in Appendix A:

	<b>Total Budget £m</b>	<b>Actual Spend Forecast £m</b>	<b>Forecast Outturn Variance £m</b>
Schools Block (inc ISB)	66.337	66.338	0.001
Early Years Block	7.061	6.686	-0.374
High Needs Block	16.392	14.875	-1.518
<b>Total Net Expenditure</b>	<b>89.790</b>	<b>87.899</b>	<b>-1.891</b>
Support Service Recharges	0.720	0.720	0
<b>Total Expenditure</b>	<b>90.511</b>	<b>88.619</b>	<b>-1.891</b>
DSG Grant	<b>90.511</b>	<b>90.511</b>	
<b>Net Position</b>	<b>0</b>	<b>-1.891</b>	<b>-1.891</b>

2.2 The Schools Block inclusive of the ISB is forecasting a year end under spend position of a £1k.

2.3 The Early Years Block is forecasting a £374k under spend.

2.4 The High Needs Block is forecasting a year end position of £1,518k under spent. Within the High Needs Block the Top Up cost centres are forecasting a pressure of £126k. The High Needs Contingency is currently forecasting a year end position of £939k under spent this is post a virement of budget from contingency of £709k as agreed at the School's Forum on 7<sup>th</sup> October 2013 into the Top Up cost centres. The net forecast position for the Top Up cost centres, including the contingency, is £813k under spent at the year end.

2.5 The High Needs Block non-top up or place funding cost centres are forecasting an under spend of £669k. £342k is on the Non-LEA Special School cost centre due to a reduction of costs and revision of commitments. £400k is recouplement income relating to 2012-13. There is a £70k pressure on home tuition.

2.6 Appendix A details all under and over spends forecast within the High Needs Block.

## Appendices

Appendix A – DSG 2013/14 Budget Monitoring Report

## Dedicated School's Grant (DSG) 2013-14 Budget Monitoring Month 10

Budget manager	Cost Centre	Description	Original Budget	Net Virements in year	Amended Budget	Actual	Variance	Comments
Ian Pearson	90020	Primary Schools	46,397,350	0	46,397,350	46,397,350	0	
Ian Pearson	90025	Secondary Schools	21,826,160	-2,934,280	18,891,880	18,891,880	0	
	90041	R&M Non Delegated	0	0	0	-5,371	-5,371	Residual under spend of brought forward provisions from 12/13.
Ian Pearson	90112	Special Costs Primary	25,010	0	25,010	21,450	-3,560	
Ian Pearson	90117	Special Costs Secondary	15,550	0	15,550	35,060	19,510	Payments based on current policy arrangements.
Ian Pearson	90230	Schools in Financial Difficulty	115,680	0	115,680	115,680	0	
Ian Pearson	90235	School Delegated Contingency	100,000	0	100,000	100,000	0	
Maxine Slade	90255	Virtual School Service	171,410	0	171,410	171,410	0	
Cathy Burnham	90349	Behaviour Support - DSG	150,110	0	150,110	150,110	0	
Melanie Ellis	90711	Schools Finance	46,580	0	46,580	46,580	0	
Caroline Corcoran	90583	CLA/MPA Licences	47,000	0	47,000	47,000	0	
Ian Pearson	90019	DSG Servicing of Schools' Forum	95,320	0	95,320	85,320	-10,000	Savings on supplies and services budgets
Adrian Slaughter	90028	Schools Carbon Reduction Commitment	105,000	0	105,000	100,439	-4,561	Reduced Carbon Reduction Commitment allowances
Caroline Corcoran	90743	Admissions	176,020	0	176,020	181,300	5,280	Employees and Supplies and Services pressures
<b>Schools Block Total</b>			<b>69,271,190</b>	<b>-2,934,280</b>	<b>66,336,910</b>	<b>66,338,208</b>	<b>1,298</b>	

Budget manager	Cost Centre	Description	Original Budget	Net Virements in year	Amended Budget	Actual	Variance	Comments
Ian Pearson	90010	Nursery Schools	827,960	0	827,960	827,960	0	
Maria Shepherd	90036	Early Years Funding for PVI	3,961,810	0	3,961,810	4,300,000	338,190	
Ian Pearson	90037	Early Yrs Funding Maintained Sector	1,128,610	0	1,128,610	1,128,610	0	
Maria Shepherd	90017	Early Years Support Team	109,010	0	109,010	114,779	5,769	
Maria Shepherd	90018	Expenditure on 2 year olds	1,033,550	0	1,033,550	315,000	-718,550	
<b>Early Years Block Total</b>			<b>7,060,940</b>	<b>0</b>	<b>7,060,940</b>	<b>6,686,349</b>	<b>-374,591</b>	

Budget manager	Cost Centre	Description	Original Budget	Net Virements in year	Amended Budget	Actual	Variance	Comments
Nicola Ponton	90539	Special Schools - Top Up Funding	2,420,120	0	2,420,120	2,465,643	45,523	Based on current demand levels
Nicola Ponton	90548	Non WBC Special Schools - Top Up Funding	520,000	0	520,000	486,090	-33,910	Increase in savings mainly due to update of TFVS top ups - now updated with refined information
Nicola Ponton	90579	Independent Special School Place & Top Up	0	832,070	832,070	832,070	0	Based on current demand levels
Nicola Ponton	90580	Further Education Colleges Top Up	0	893,070	893,070	806,214	-86,856	Budget increased from DSG High Needs Contingency
Nicola Ponton	90617	Resourced Units top up Funding maintained	420,060	0	420,060	335,000	-85,060	Based on current demand levels
Nicola Ponton	90618	Non WBC Resourced Units - Top Up Funding	60,000	0	60,000	18,318	-41,682	Based on current demand levels
Nicola Ponton	90621	Mainstream - Top Up Funding maintained	512,830	0	512,830	575,063	62,233	Based on current demand levels
Nicola Ponton	90622	Mainstream - Top Up Funding Academies	362,740	0	362,740	333,750	-28,990	
Nicola Ponton	90624	Non WBC Mainstream - Top Up Funding	48,210	0	48,210	65,633	17,423	Confirmation of LA costs for six children has now been received.
Cathy Burnham	90625	Pupil Referral Units - Top Up Funding	812,610	0	812,610	1,090,000	277,390	Based on current demand levels
Jane Seymour	90237	Special Needs Delegated Contingency	619,320	319,600	938,920	0	-938,920	

High Needs Block: Top Up Funding Total								
			5,775,890	2,044,740	7,820,630	7,007,781	-812,849	
Budget manager	Cost Centre	Description	Original Budget	Net Virements in year	Amended Budget	Actual	Variance	Comments
Cathy Burnham	90320	Pupil Referral Units	672,000	0	672,000	672,000	0	
Ian Pearson	90540	Special Schools	3,530,000	-273,330	3,256,670	3,256,670	0	
Rhian Ireland	90555	LAL Funding	134,600	0	134,600	134,600	0	
Nicola Ponton	90584	Resourced Units - Place Funding (70)	680,000	0	680,000	592,500	-87,500	
Cathy Burnham	90582	PRU Outreach	76,880	0	76,880	129,000	52,120	
Jane Seymour	90585	HN Outreach Special Schools	105,650	0	105,650	105,650	0	
High Needs Block: Place Funding Total								
			5,199,130	-273,330	4,925,800	4,890,420	-35,380	
Budget manager	Cost Centre	Description	Original Budget	Net Virements in year	Amended Budget	Actual	Variance	Comments
Ian Pearson	90026	Academy SEN Payments	368,360	0	368,360	368,360	0	
Ian Pearson	90038	Pupil Premium	0	0	0	0	0	
Jane Seymour	90231	Non Delegated Contingency	0	0	0	0	0	Funding now delegated to schools
Ian Pearson	90236	Managed Moves/Exclusions Contingency	0	0	0	0	0	
Rhian Ireland	90238	Sen Pre School Children	38,220	0	38,220	50,220	12,000	Based on estimated demand for Autumn and Spring Terms. Lower demand for services than anticipated.
Nicola Ponton	90240	Applied Behaviour Analysis	136,580	0	136,580	110,487	-26,093	Pressure on salary budgets
Rhian Ireland	90280	Spec Needs Sprrt Team	311,370	0	311,370	316,370	5,000	Under spend in Joint Arrangements costs for 13/14
Jane Seymour	90290	Sensory Impairment	227,420	0	227,420	221,420	-6,000	Pressure due to need to provide full time support to children.
Cathy Burnham	90315	Home Tuition	148,270	0	148,270	218,270	70,000	
Cathy Burnham	90341	Ed Psychology - DSG	0	0	0	0	0	
Ian Pearson	90515	Willink Sports Centre	0	0	0	3,800	3,800	Residual costs for Energy prior to 31st march 2013 post recharges.
Nicola Ponton	90565	Equipment For SEN Pupils	38,470	0	38,470	38,470	0	
Nicola Ponton	90575	Non LEA Special School (OofA)	2,671,090	-1,015,820	1,655,270	1,312,965	-342,305	Increase savings re reduction in one individual's fees and for another, costs updated
Jane Seymour	90577	SEN Commissioned Provision	448,890	0	448,890	459,115	10,225	Pressures in Buildings Maintenance
Nicola Ponton	90605	Recoupment Special Schools	0	0	0	-158,747	-158,747	Recoupment income relating to 2012-13
Nicola Ponton	90610	Hospital Tuition	0	0	0	27,746	27,746	Costs associated with four children currently receiving services.
Nicola Ponton	90615	Recoupment Resourced Units	0	0	0	-218,541	-218,541	Recoupment income relating to 2012-13
Nicola Ponton	90620	Recoupment Mainstream Schools	0	0	0	-25,494	-25,494	Recoupment income relating to 2012-13
Nicola Ponton	90623	Recoupment PRU's	0	0	0	0	0	
Jane Seymour	90722	Special Needs Assess - DSG	0	0	0	0	0	
Ian Pearson	90736	Pupil & Student Services - DSG	0	0	0	0	0	
Caroline Corcoran	90742	Place Planning, Transport and Finance DSG	0	0	0	0	0	
Rhian Ireland	90830	ASD Teachers	118,360	0	118,360	118,360	0	
Sheila Loy	90903	Peer Mentoring Funding	0	0	0	0	0	
Maxine Slade	90917	Children in Public Care	0	0	0	0	0	
Rhian Ireland	90957	Early Intervention	45,510	0	45,510	33,010	-12,500	Supplies and services savings
Cathy Burnham	90961	Vulnerable Children	80,000	0	80,000	80,000	0	
Rhian Ireland	90965	SEN Inclusion Programme	28,780	0	28,780	20,280	-8,500	Supplies and services savings

Avril Allenby	90994	Primary Strategy 1:1 Tuition	0	0	0	0	0	
<b>High Needs Block: Non Top Up or Place Funding</b>			<b>4,661,320</b>	<b>-1,015,820</b>	<b>3,645,500</b>	<b>2,976,091</b>	<b>-669,409</b>	
<b>High Needs Block Total</b>			<b>15,636,340</b>	<b>755,590</b>	<b>16,391,930</b>	<b>14,874,292</b>	<b>-1,517,638</b>	
<b>Total Expenditure across funding bocks</b>			<b>91,968,470</b>	<b>-2,178,690</b>	<b>89,789,780</b>	<b>87,898,849</b>	<b>-1,890,931</b>	
<b>SUPPORT SERVICE RECHARGES</b>			<b>720,890</b>		<b>720,890</b>	<b>720,890</b>	<b>0</b>	
<b>TOTAL DSG EXPENDITURE</b>			<b>92,689,360</b>	<b>-2,178,690</b>	<b>90,510,670</b>	<b>88,619,739</b>	<b>-1,890,931</b>	
Budget manager	Cost Centre	Description	Original Budget	Net Virements in year	Amended Budget	Actual	Variance	Comments
Ian Pearson	90030	DSG Grant Account	-92,689,360	2,178,690	-90,510,670	-88,619,739	1,890,931	
<b>NET DSG EXPENDITURE</b>			<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	

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**Schedule of School's Forum (SF) and Heads Funding Group (HFG)  
Work Programme 2014/15 Financial Year**

Meeting	Date	Time	Venue	Items for Consideration/Decision	Lead Officer(s)
HFG	21st May 2014 (Wednesday)	3.30pm	Shaw House	Review DfE Arrangements on School Funding for 2015/16 Agree on options to be considered for 2015/16 school formula Review School Balances 2013/14	Claire White Claire White Claire White
SF	9th June 2014 (Monday)	5pm	Shaw House	School Funding Reform 2015/16: Overview, Requirements, Timetable  DSG Outturn 2013/14 Review School Balances 2013/14 (including excess surplus balances) Review of School Financial Value Standard returns from schools 2013/14 Scheme for Financing Schools 2014/15 for approval	Claire White Shannon Coleman-Slaughter & Ian Pearson Claire White Ian Priestley Claire White
HFG	1st July 2014 (Tuesday)	3.30pm	Shaw House	Consider options for school formula 2015/16 Review de-delegations and Buy Back arrangements Review School Budgets 2014/15 & Schools in Financial Difficulty	Claire White Shannon Coleman-Slaughter Claire White
SF	14th July 2014 (Monday)	5pm	Shaw House	Agree proposed formula for 2015/16 to go out to consultation with schools Agree proposal for dedelegations to go out to consultation with schools Review School Budgets 2014/15 & Schools in Financial Difficulty Review & Agree Schools' Forum Membership & Constitution (for September 2014)	Claire White Shannon Coleman-Slaughter Claire White Carolynn Loosen & Claire White
HFG	18th September 2014 (Thursday)	3.30pm	Shaw House	Review formula proposals for 2015/16 following school consultation Review de-delegations for 2015/16 following school consultation Review Additional Funding Criteria	Claire White Shannon Coleman-Slaughter Claire White
SF	29th September 2014 (Monday)	5pm	Shaw House	Agree Formula for 2015/16 Agree De-delegations for 2015/16 Agree Additional Funding Criteria for 2015/16 School Funding Benchmarking Information  DSG Monitoring 2014/15 Month 5	Claire White Shannon Coleman-Slaughter Claire White Carolynn Loosen Shannon Coleman-Slaughter & Ian Pearson
HFG	25th November 2014 (Tuesday)	3.30pm	Shaw House	Review of likely DSG Funding for 2015/16 and Draft Budget Review High Needs Funding Proposals for 2015/16 Review Early Years Funding Proposals for 2015/16 Review PRU Funding Proposals for 2015/16 Update on Schools in Financial Difficulty	Claire White Jane Seymour Maria Shepherd Cathy Burnham Claire White

**Agenda Item 11**

Meeting	Date	Time	Venue	Items for Consideration/Decision	Lead Officer(s)
SF	8th December 2014 (Monday)	5pm	Shaw House	Update on Likely DSG Funding for 2015/16 and Draft Budget Update on High Needs Funding Proposals for 2015/16 Update on Early Years Funding Proposals for 2015/16 Update on PRU Funding Proposals for 2015/16 Update on Schools in Financial Difficulty Agree funding from Growth Fund and Falling Rolls Fund 2014/15  DSG Monitoring 2014/15 Month 7	Claire White Jane Seymour Maria Shepherd Cathy Burnham Claire White Claire White Shannon Coleman-Slaughter & Ian Pearson
HFG	8th January 2015 (Thursday)	3.30pm	Shaw House	Review DSG funding estimate for 2015/16 and draft budget Consider school budget and final funding rates for school formula	Claire White & Shannon Coleman-Slaughter Claire White
SF	19th January 2015 (Monday)	5pm	Shaw House	Review DSG funding estimate for 2015/16 and draft budget for 2015/16 Agree final funding rates for School Formula and and agree school budget  DSG Monitoring 2014/15 Month 9 (Budgets to schools by 23rd January - subject to Approval by EFA)	Claire White & Shannon Coleman-Slaughter Claire White Shannon Coleman-Slaughter & Ian Pearson
HFG	26th February 2015 (Thursday)	3.30pm	Shaw House	Review of Final DSG Budget proposal for 2015/16 Review final arrangements for High Needs Review final arrangements for Early Years Review final arrangements for PRUs Agree work programme 2015/16	Claire White & Shannon Coleman-Slaughter Jane Seymour Maria Shepherd Cathy Burnham Claire White
SF	9th March 2015 (Monday)	5pm	Shaw House	Agree Final DSG Budget for 2015/16 Agree final arrangements for High Needs Agree final arrangements for Early Years Agree final arrangements for PRUs  DSG Monitoring 2014/15 Month 10 Agree work programme 2015/16	Claire White & Shannon Coleman-Slaughter Jane Seymour Maria Shepherd Cathy Burnham Shannon Coleman-Slaughter & Ian Pearson Claire White